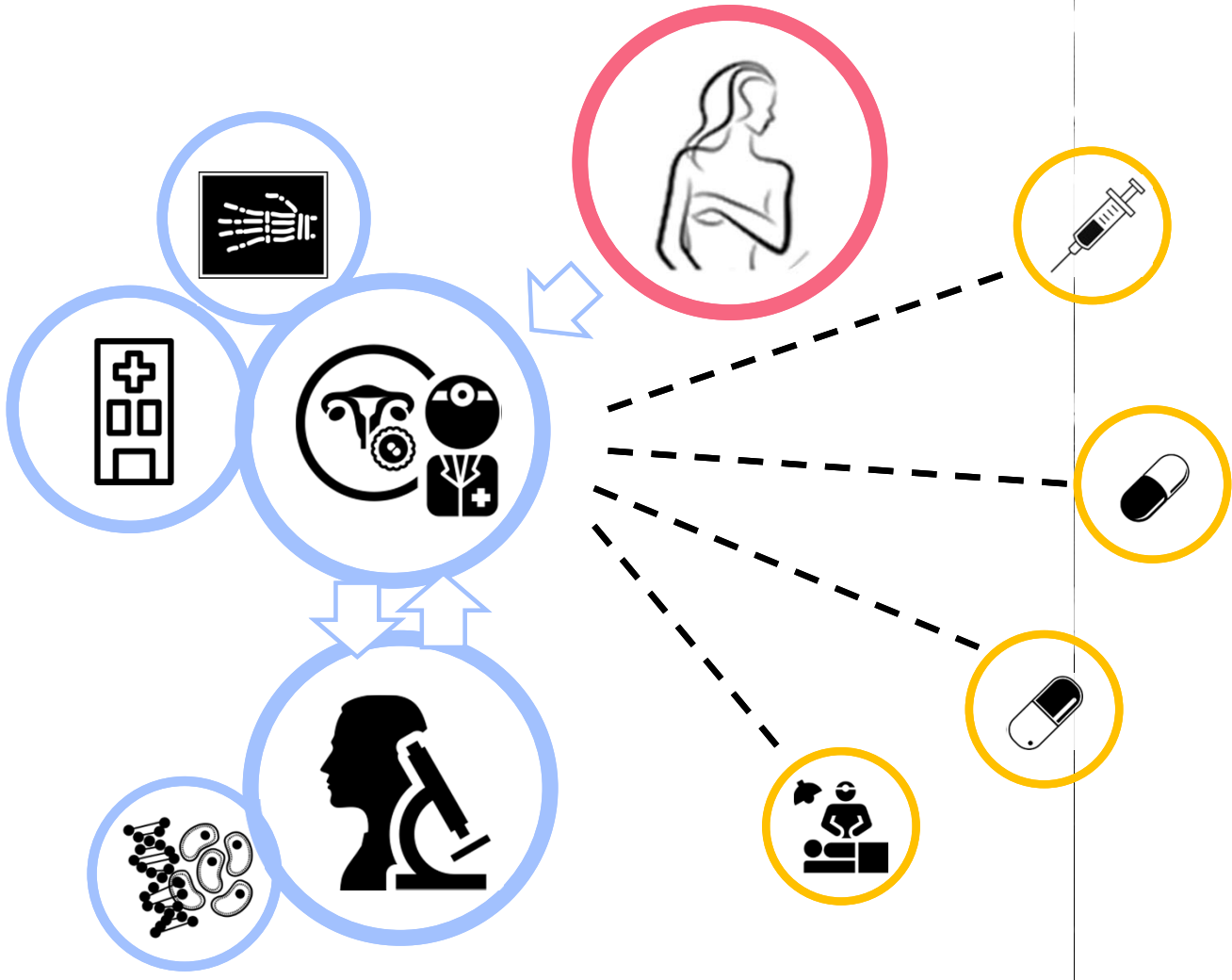


Improving the lives of Breast Cancer Patients in Africa Impact of Histopathology

Accra, Ghana – March 2016

Roche Pharmaceutical & Diagnostics

Impact of the histopathology in BC management



What is histopathology?

The role of the pathologist



Tissue sample acquired through surgery, biopsy, fine needle aspiration

...



... need to be processed to make it compatible for staining and stained...



... such as the pathologist can interpret morphological & biological features for diagnosis and treatment decision

A vertical grayscale image on the left side of the slide, showing a close-up of a person's face, possibly a patient, with a focus on the eye and cheek area.

Pre- Analytics

How do we get the tissue sample and how do we process it to make it compatible for anatomico-pathology analysis ?

What is histopathology?

The role of the pathologist



Tissue sample acquired through surgery, biopsy, fine needle aspiration ...



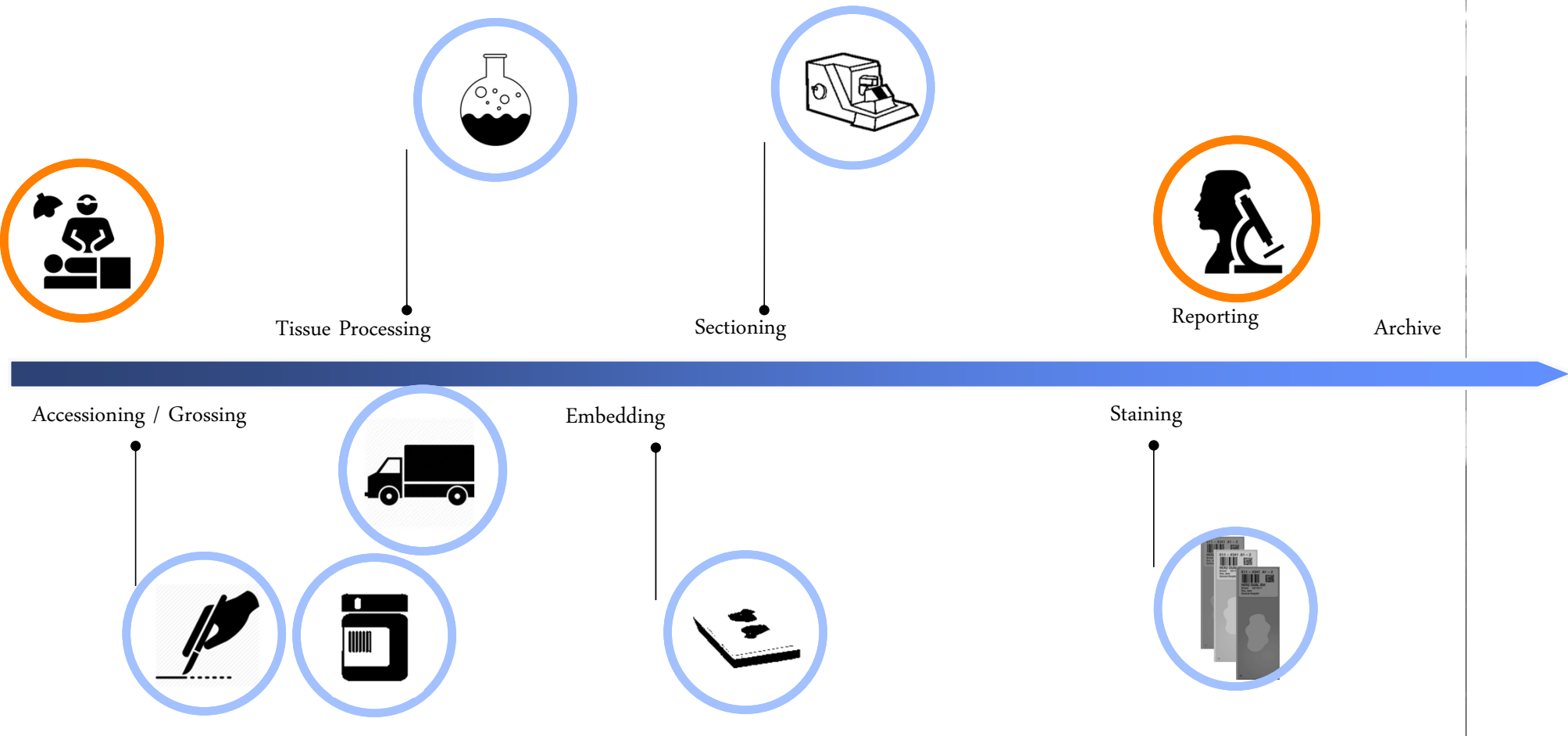
... need to be processed to make it compatible for staining and stained...



... such as the pathologist can interpret morphological & biological features for diagnosis and treatment decision



Anatomic pathology tissue specimen workflow



1. Accessioning



Specimens are received in the histology laboratory

Before gross examination

- **Specimens are given a case number**
 - **Computer system**
 - **Logbook**
- **Request sheet and specimen containers are properly labeled**
- **Cassettes are made**
- **Accuracy of all the above is checked.**

This process 'can be' a MAJOR source of error in the histology laboratory

2. Gross examination



Tissues must then undergo gross examination and dissection

Gross examination or “grossing” consists of:

- **Describing the specimen’s size, shape, color and any apparent abnormalities**
- **Description of margins and their orientation**

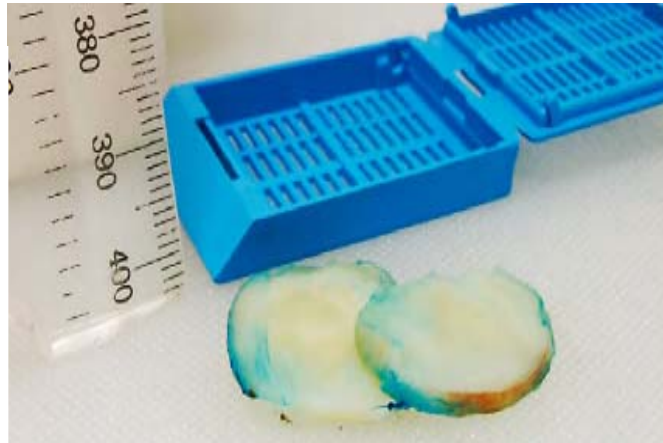
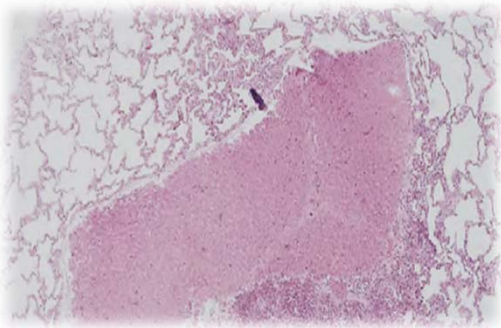
Depending on the size and type of specimen, it is either submitted entirely Or a 'representative' section is taken

The tissue is placed into small plastic cassette, which will allow fluids to infiltrate the specimens in the processing step

2. Gross examination



Grossing



- Check fixation status
- Prepare thin slices 2-3 mm
- Avoid specimen trauma
- Avoid cross-contamination
- Avoid overloading cassettes
- Clearly and properly label cassettes

Fixation

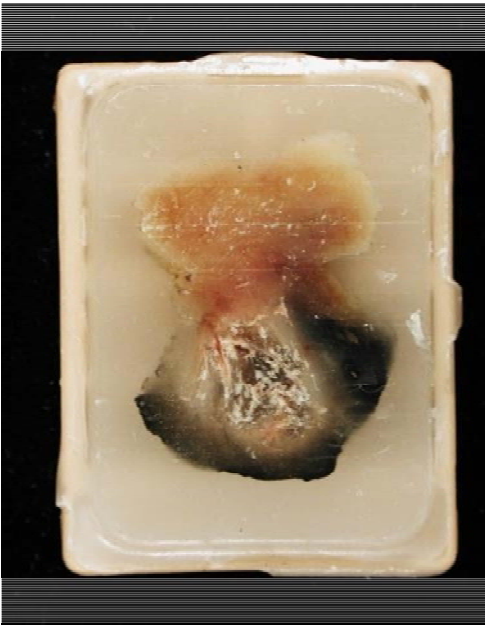


Definition:

alters tissue by stabilizing the protein so it is resistant to further changes

A fixative must change the soluble contents of the cell into insoluble substances so that those substances are not lost during subsequent processing steps

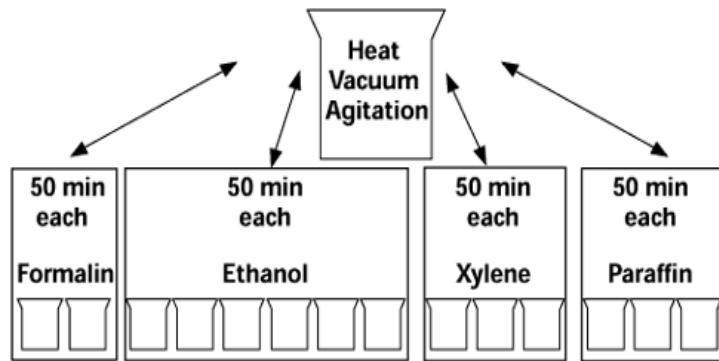
3. Tissue Processing



The purpose of tissue processing is to transform the cut tissue into a form hard enough to enable cutting into very thin sections

This is done by a series of steps to remove water, ultimately infiltrating the tissue with paraffin wax

Processing Steps



Source: Am J Clin Pathol © 2004 American Society of Clinical Pathologists, Inc.

1. Fixation: The purpose is to preserve tissues permanently in a state similar that it was taken form the body

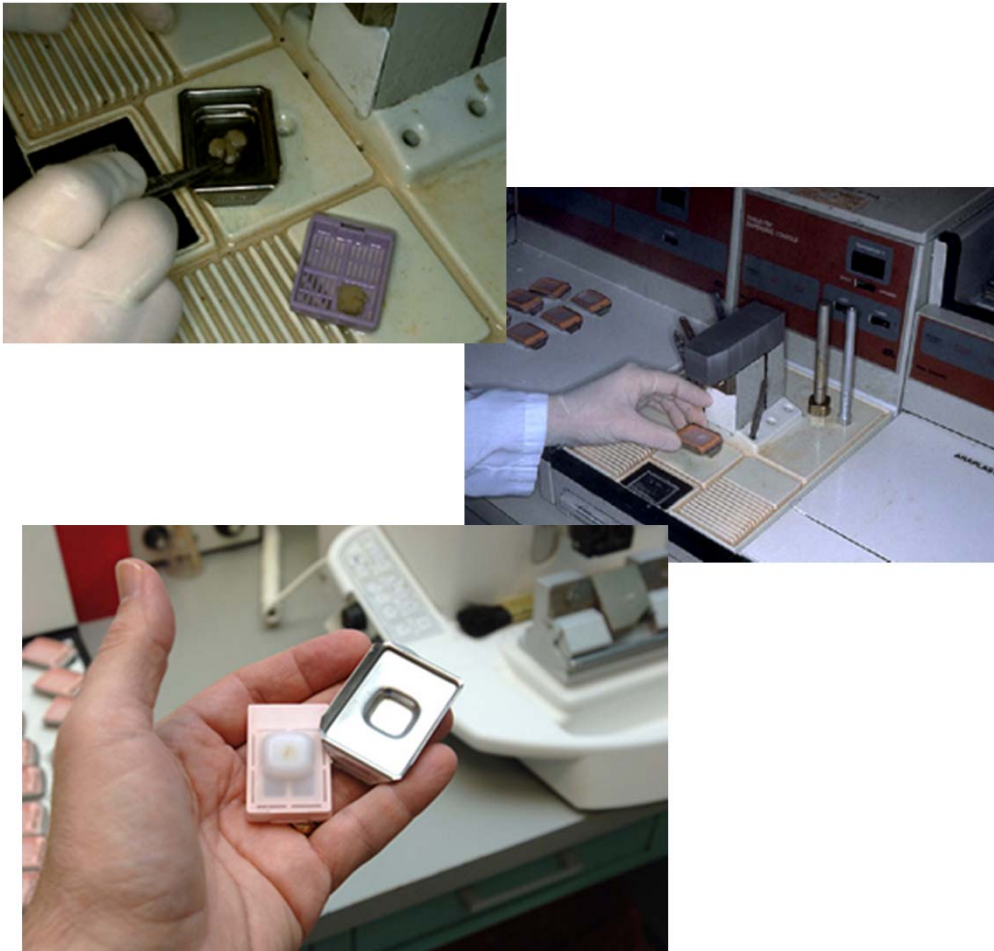
2. Dehydration : Tissue samples are placed in a series of graded alcohols, usually beginning with 70% and ending with 100%.

3. Clearing : An organic solvent (e.g.. Xylene) is used as an intermediary step because alcohol and paraffin are not compatible.

4. Infiltration : Tissue samples are then placed into changes of melted paraffin wax.



Embedding



- Tissue samples come off the tissue processor and are manually oriented in embedding molds.
- The bottom of the cassette which contains the accession number is placed then over the mold.
- The mold and cassette are then filled with more molten paraffin.
- The paraffin is then allowed to solidify on a refrigerated surface.
- Once the paraffin is solid the solid block is ready to be cut thin

Microtomy



- A microtome is used to cut very thin precise paraffin sections. (3-6 microns)
- Due to friction, heat is generated on the knife to form a wax ribbon of tissue sections.
- This ribbon is floated on a warm water bath to remove any wrinkles and allows the ribbon to be picked on a slide.
- A **positively** charged slide should be used for all Immunohistochemical (IHC) procedures

Sectioning – Slide Drying



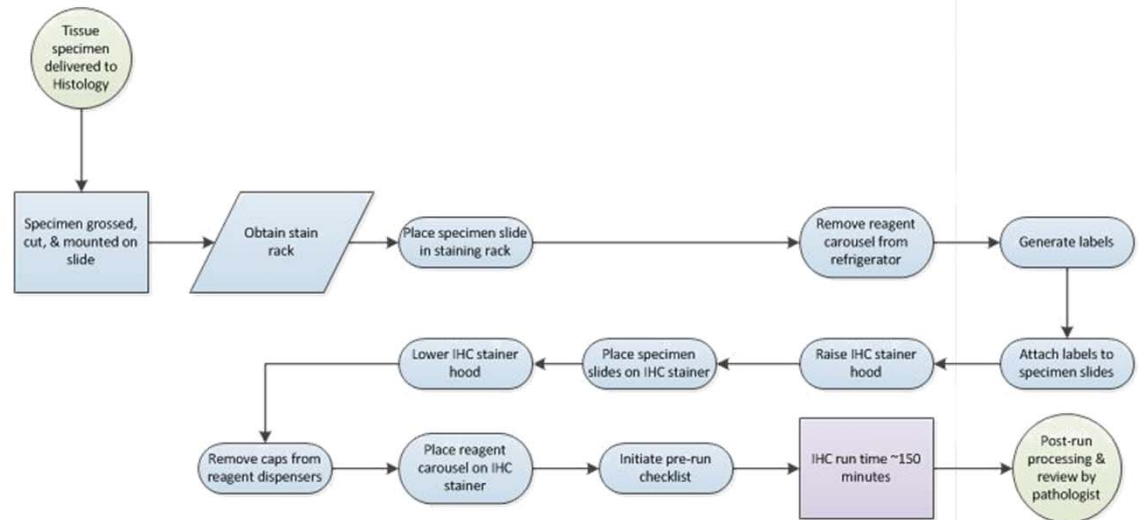
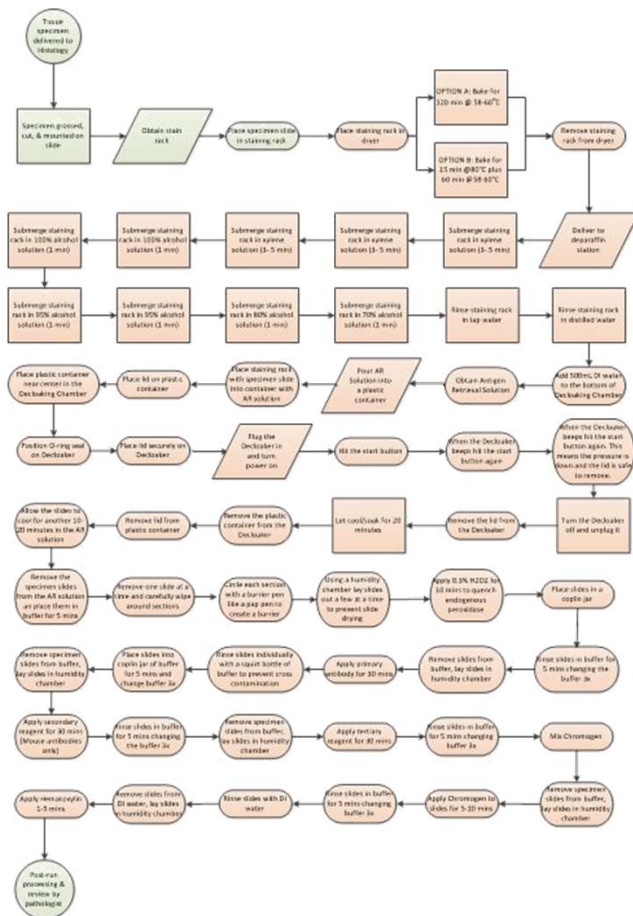
The slides are then placed in a oven to evaporate the water on the slide and to properly adhere the section on the slide.

Recommendation:

- 60°C for a maximum of 60 minutes,
- 37°C for a maximum of 24 hours,
- or at ambient temperature for 24 hours or longer

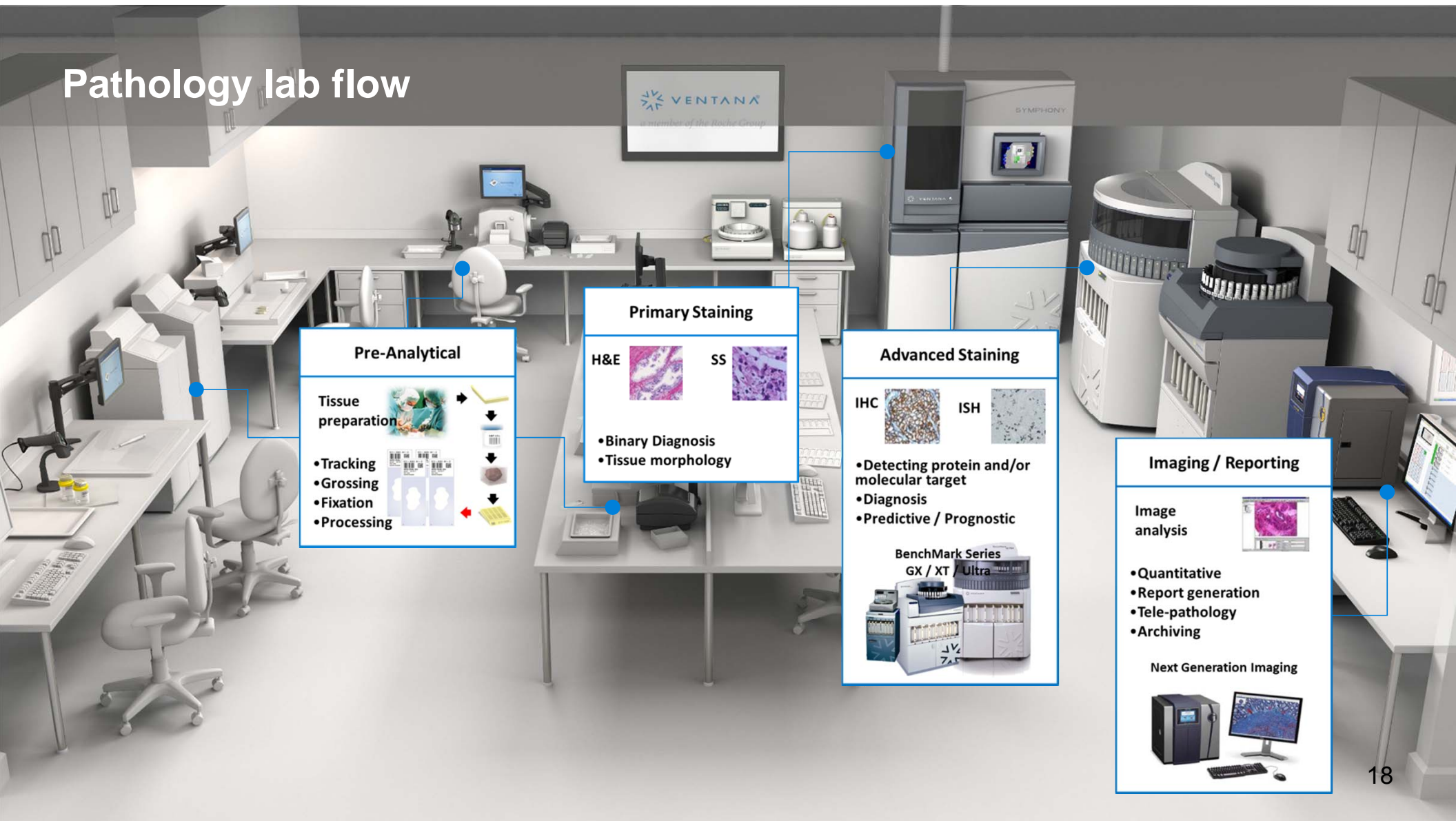
Workflow

Manual Process or Automation



Eliminate up to 80% of the labor required for manual and semi-automated staining

Pathology lab flow



A vertical decorative strip on the left side of the slide, featuring a grayscale image of a breast and a breast cancer specimen.

Anatomo- morphology

**What are the main
subtype of Breast
Cancer according to
anatomo-pathology ?**



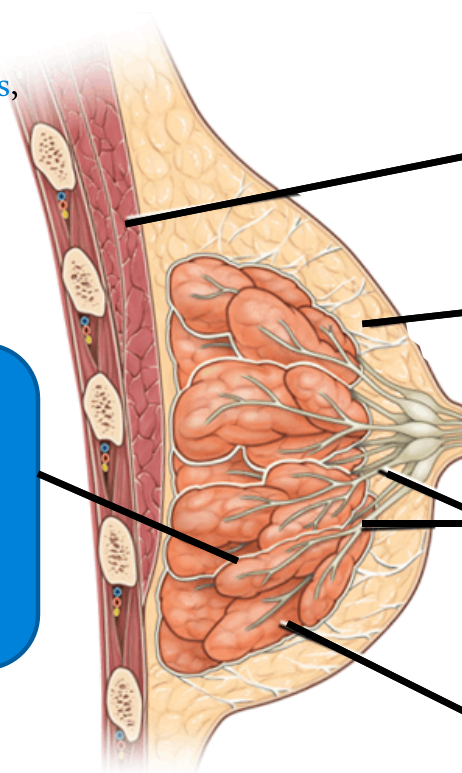
Femal Breast Anatomy



The structure of the female breast is complex — including **fat** and **connective tissue**, as well as **lobes**, **lobules**, **ducts** and **lymph nodes**.

Lobes

15 to 20 sections arrange like the petals of daisy
Inside each are many smaller structures called lobules
At the end of each lobule are tiny sacs (bulbs) that produce milk



Muscles underneath the Breasts separating them from the ribs

lymph nodes & lymph ducts

Drain fluid that carries white blood cells from the breast tissues into lymph nodes that filter harmful bacteria (play a key role in fighting off infection)

Ducts

Lobes, lobules and bulbs are linked by a network of thin tubes (ducts)
Carry milk from bulbs to the areola

Breast has no muscle tissue

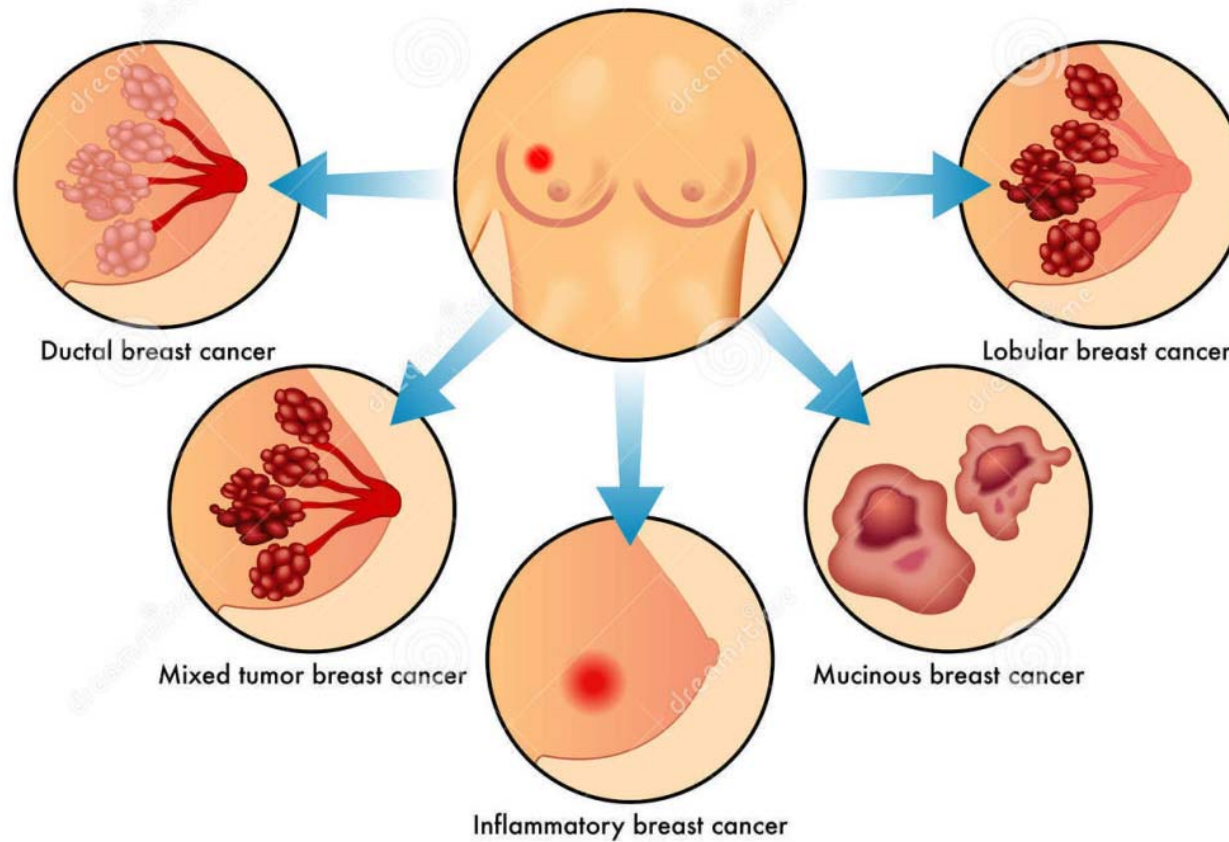


Type of Breast Cancer



Roche

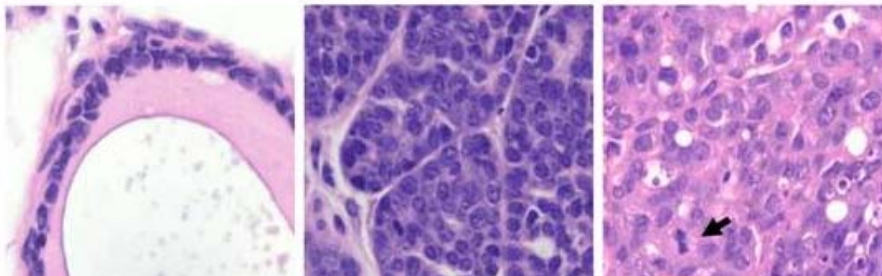
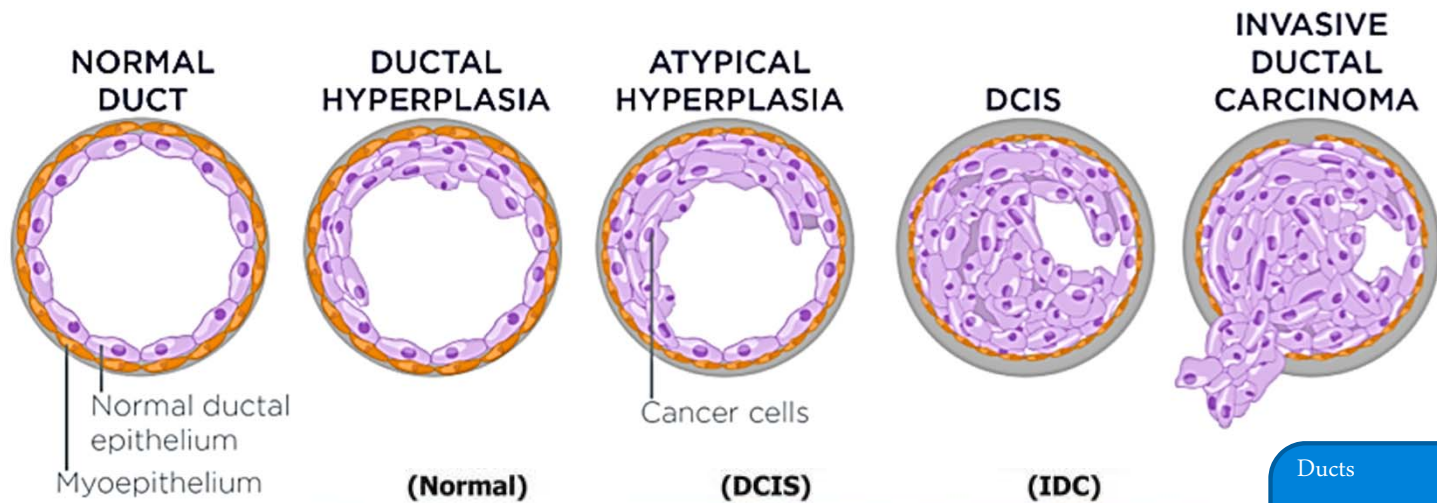
About
80%



10 to
20%



Ductal Carcinomas In Situ (DCIS)



Ducts

Lobes, lobules and bulbs are linked by a network of thin tubes (ducts)
Carry milk from bulbs to the areola



Lobular Carcinomas In Situ (LCIS)

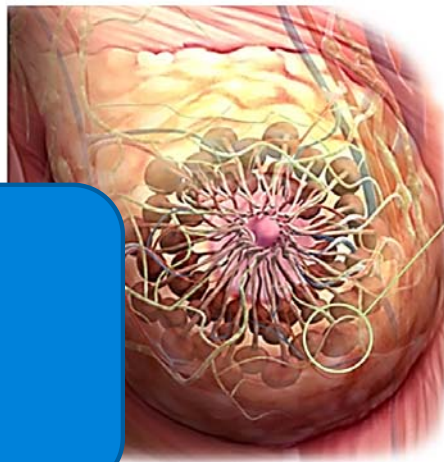


Roche

Lobes

15 to 20 sections arrange like the petals of daisy Inside each are many smaller structures called lobules

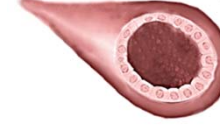
At the end of each lobule are tiny sacs (bulbs) that produce milk



Normal Lobule



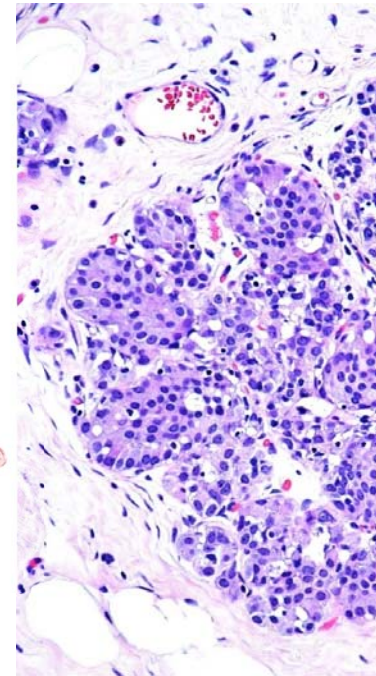
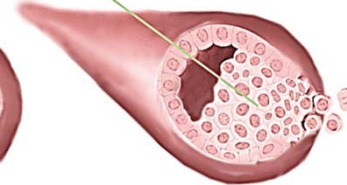
Normal Lobule




Lobular Carcinoma In situ (LCIS)



Invasive Lobular Carcinoma (ILC)



A vertical decorative bar on the left side of the slide, featuring a grayscale image of a person's shoulder and arm in a white lab coat.

What is the molecular subtype?

Explanation text



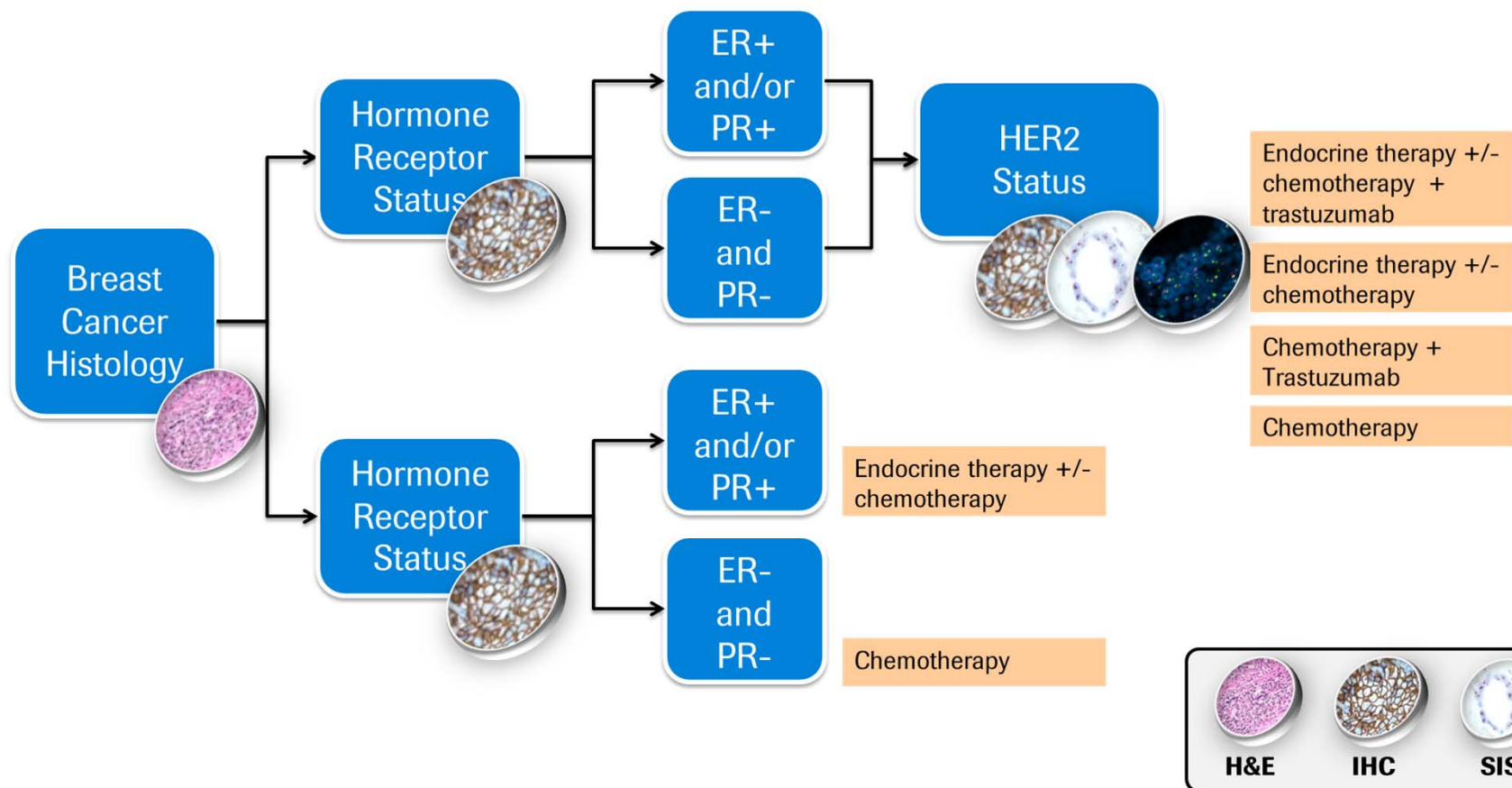
Histologic vs. Molecular subtypes



Histological subtypes	Ductal	Lobular	Molecular subtypes	Triple negative ER-, PR-, HER2-	HER2+	Luminal B	Luminal A
Preinvasive cancer 25% Cells limited to basement membrane	Ductal carcinoma in situ (DCIS) 80% May spread through ducts and distort duct architecture 1% progress to invasive cancer per year Usually unilateral	Lobular carcinoma in situ (LCIS) 20% Does not distort duct architecture Same genetic abnormality as ILC — E-cadherin loss 1% progress per year Can be bilateral	% of breast cancers	15-20%	10-15%	20%	40%
Invasive cancer 75% Extension beyond the basement membrane	Invasive ductal carcinoma (IDC) 79% Usually from DCIS precursor Cause fibrous response, producing a palpable mass on examination Metastasis through lymphatics and blood	Invasive lobular carcinoma (ILC) 10% Usually from LCIS precursor Minimal fibrous response, presents less often with palpable mass Metastasis through abdominal viscera to GI, ovaries, uterus Almost always ER+	Receptor expression				
			Histologic grade Level of cell differentiation				
			Prognosis Correlates to histologic grade				
			Response to medical therapy				

Breast Cancer : Facts and Numbers

Impact of histopathology in treatment decision



A vertical strip on the left side of the slide showing a grayscale microscopic image of tissue, likely a histological section.

Immunohistochemistry IHC

Explanation text

Why HER2 testing?

The HER2 pathway

HER family :

HER1 (EGFR), HER2, HER3, HER4

Receptor Ligand specific :

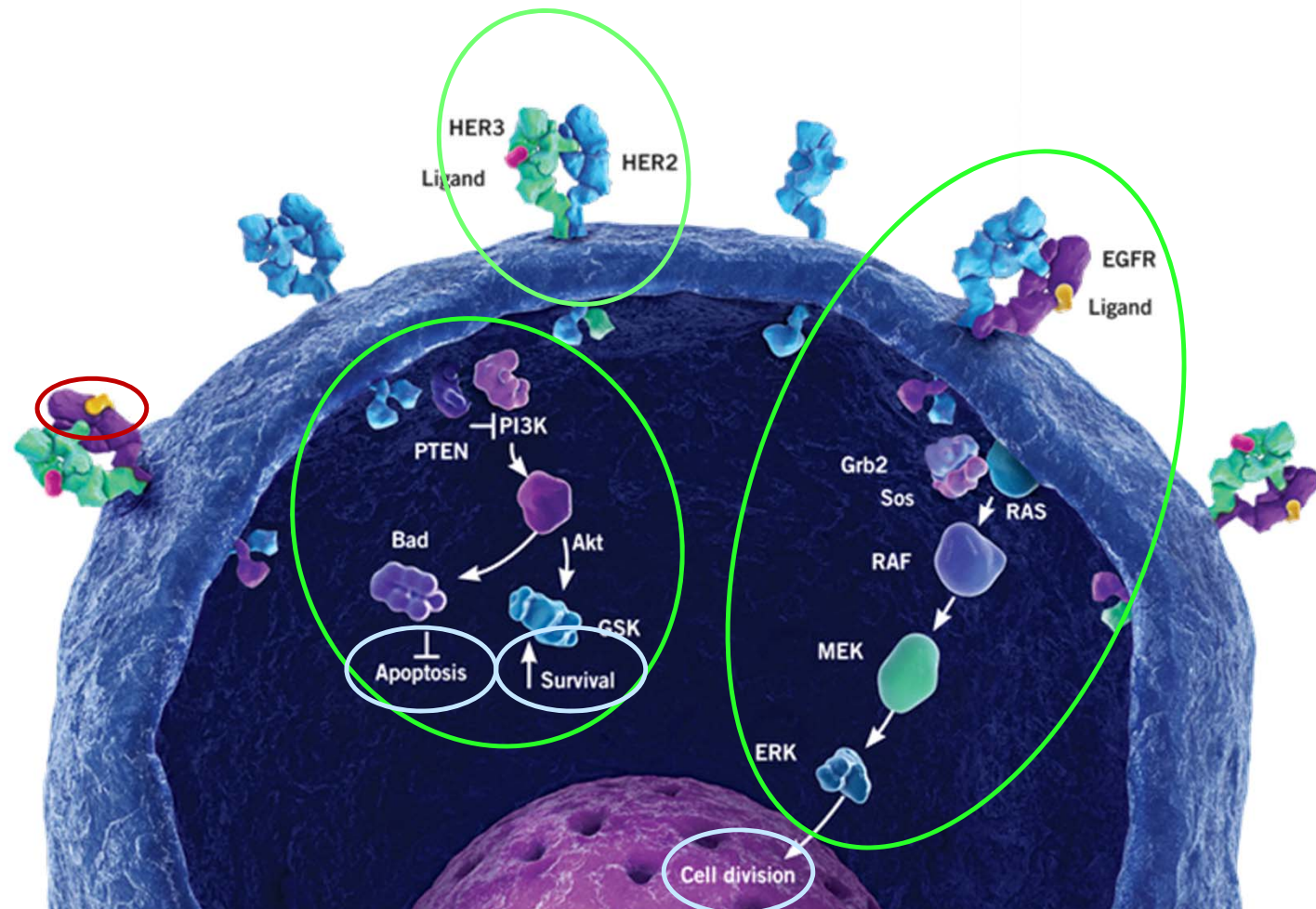
HER1 (EGFR), HER3, HER4, HER2 no ligant

Dimerization :

Signaling pathway activation

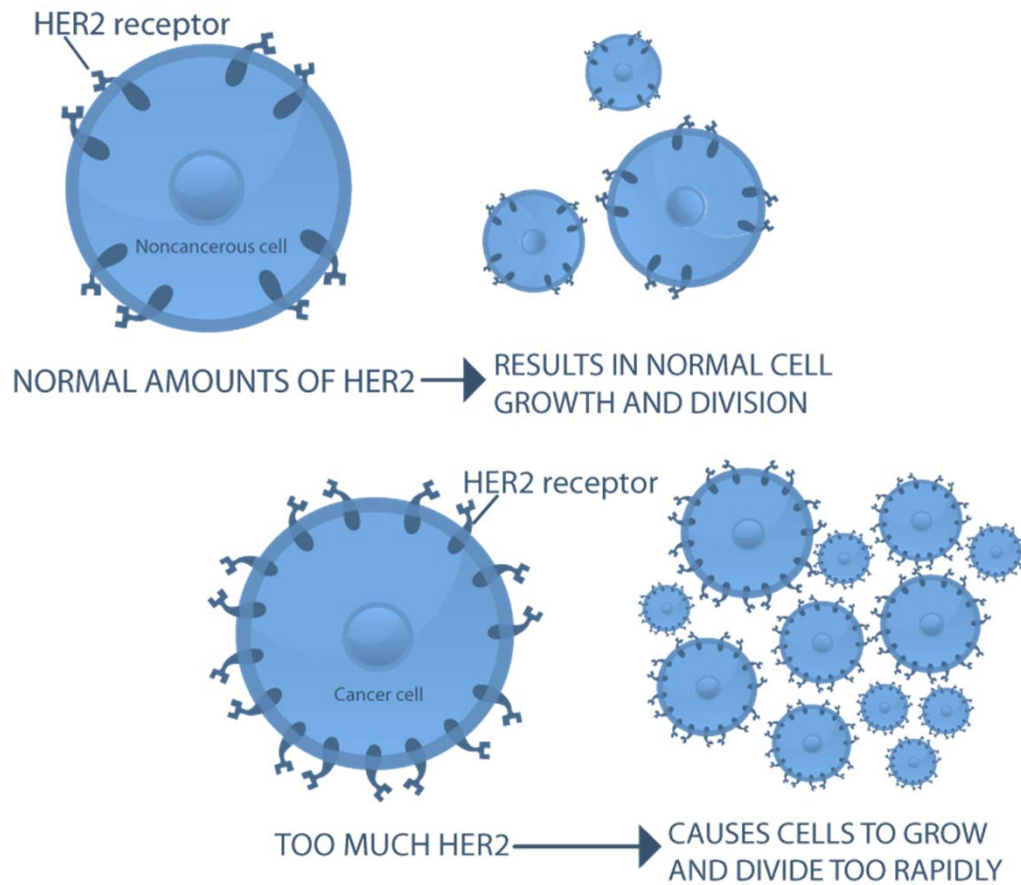
Oncogenic process :

Cell proliferation, survival, mobility, invasivness

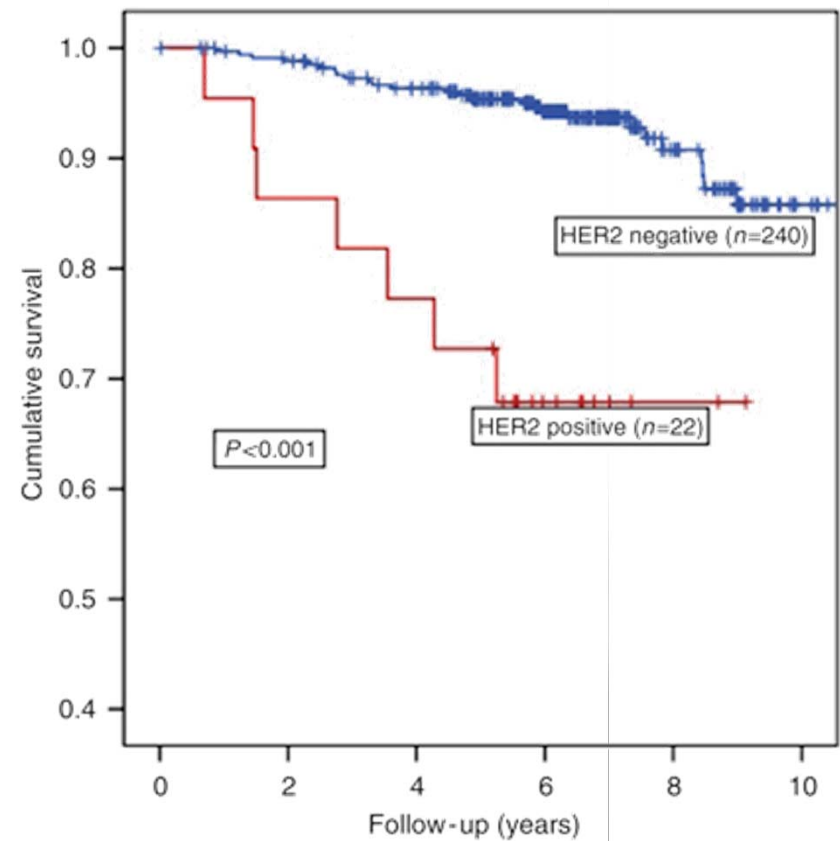


Why HER2 testing?

Prognostic factor



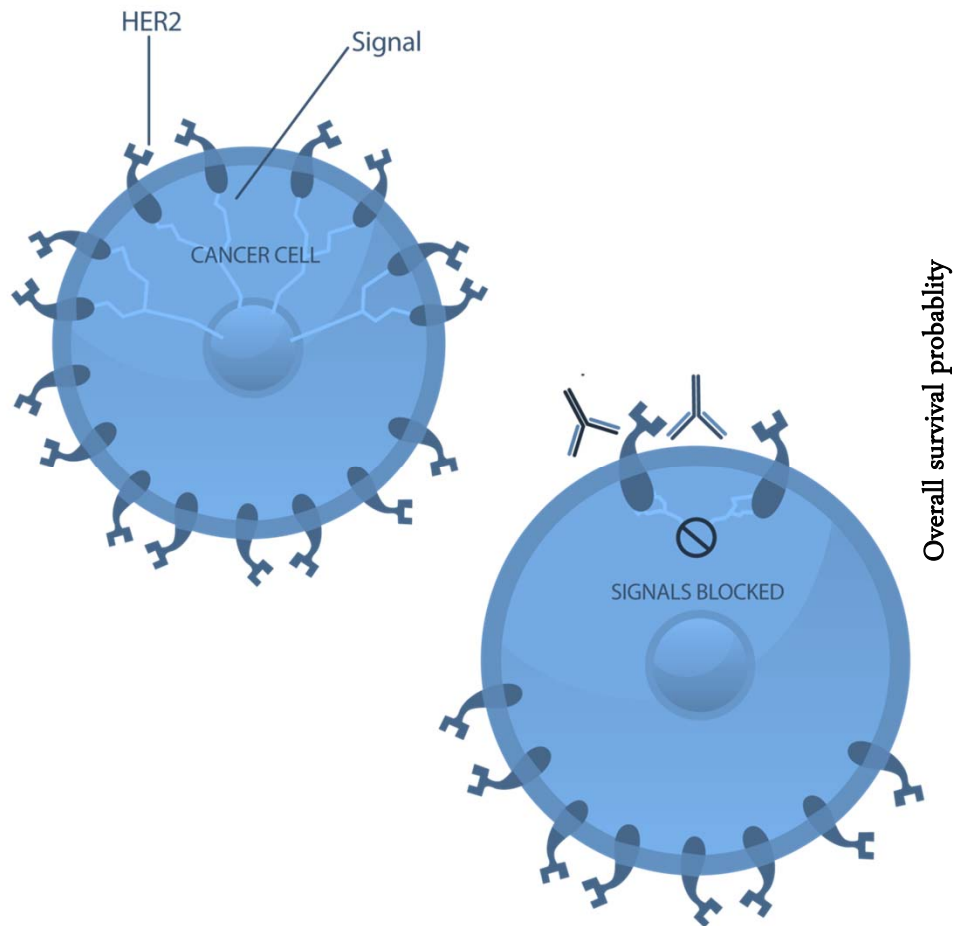
Kaplan–Meier curves for HER2 status. Survival curves showing cumulative survival between patients + or -.



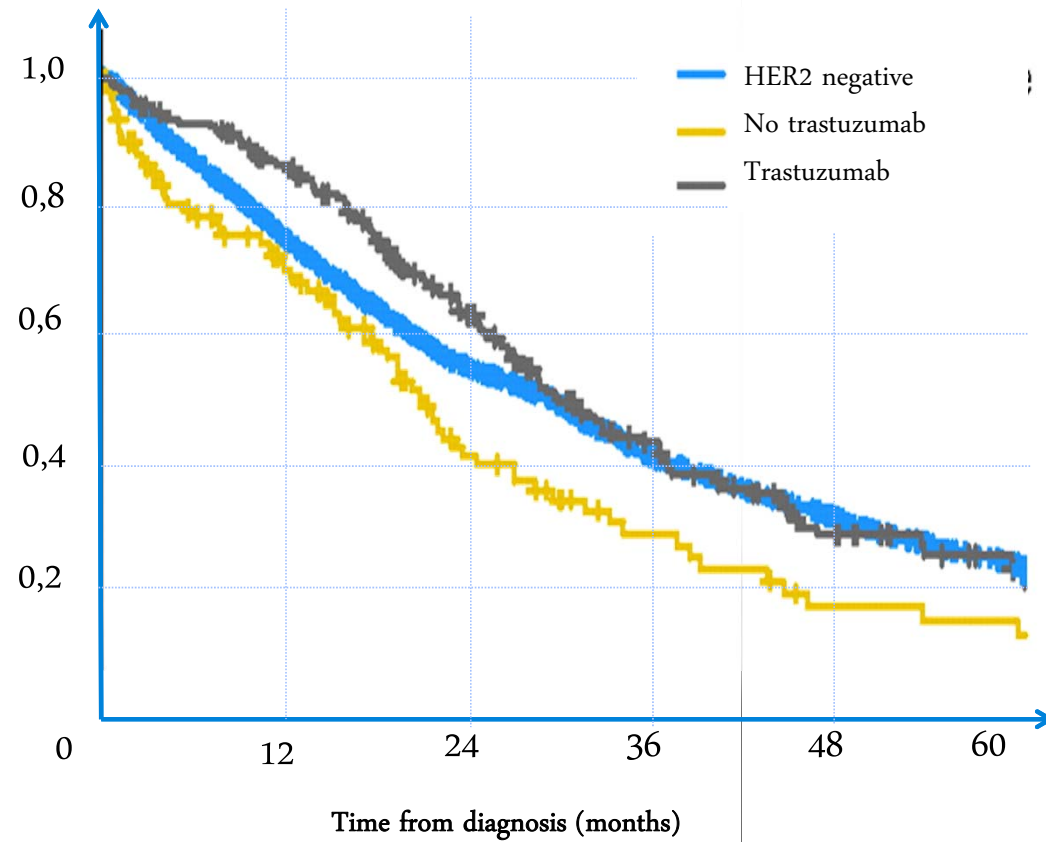
Tovey SM et al, British Journal of Cancer (2009) 100, 680–683

Why HER2 testing?

Predictive factor



Overall survival probability



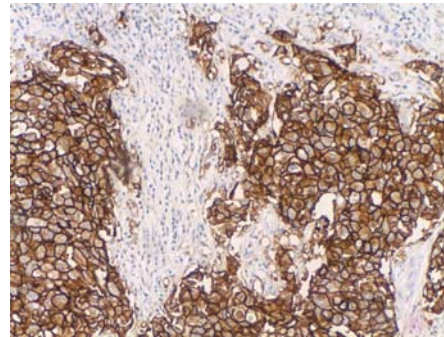
Dawood et al., JCO January 1, 2010 vol. 28 no. 1 92-98

How do we test for HER2 ?

Technical point of view

IHC

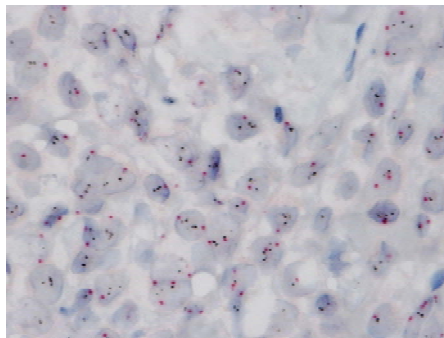
ImmunoHistoChemistry



Expression level of HER2
protein

ISH

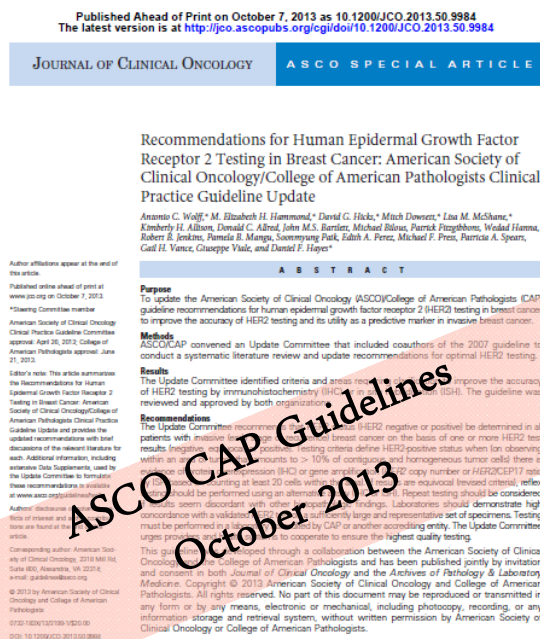
In Situ Hybridization



Determination of HER2 gene
amplification status

How do we test for HER2 ?

Guidelines



Pre analytics recommendations

- Ischemia, fixation, best practice...

Scoring guidelines

- Algorithm
- IHC reading rules
- ISH reading rules

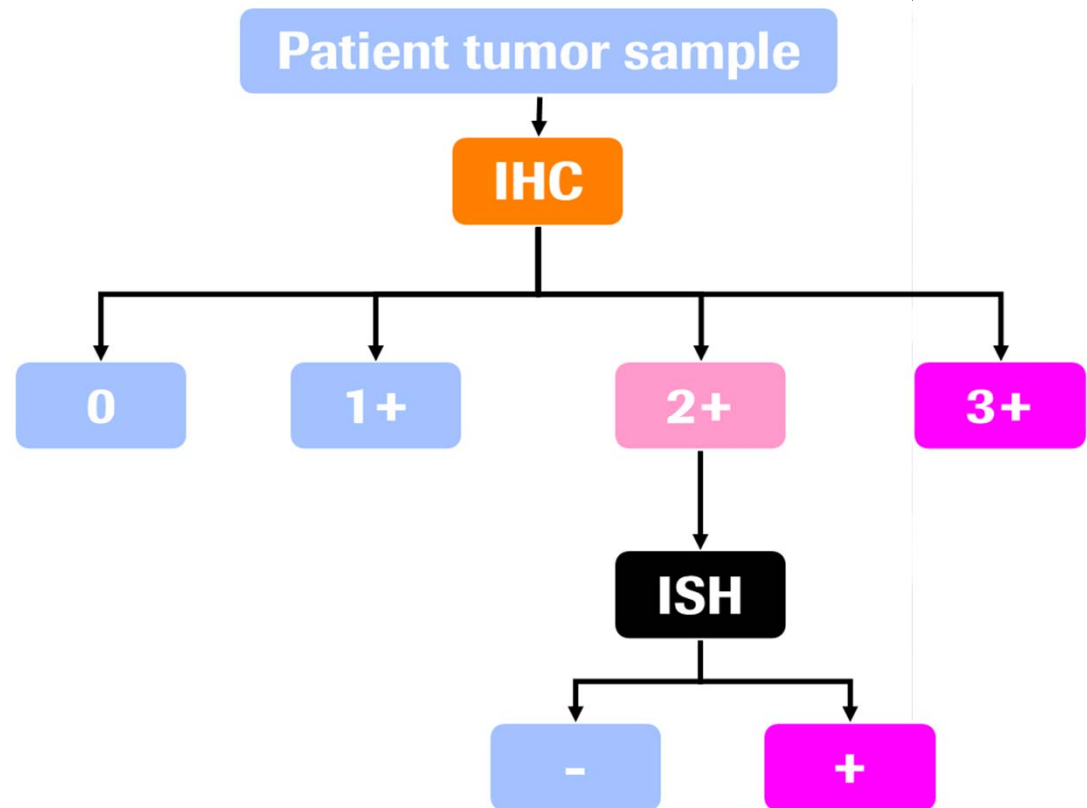
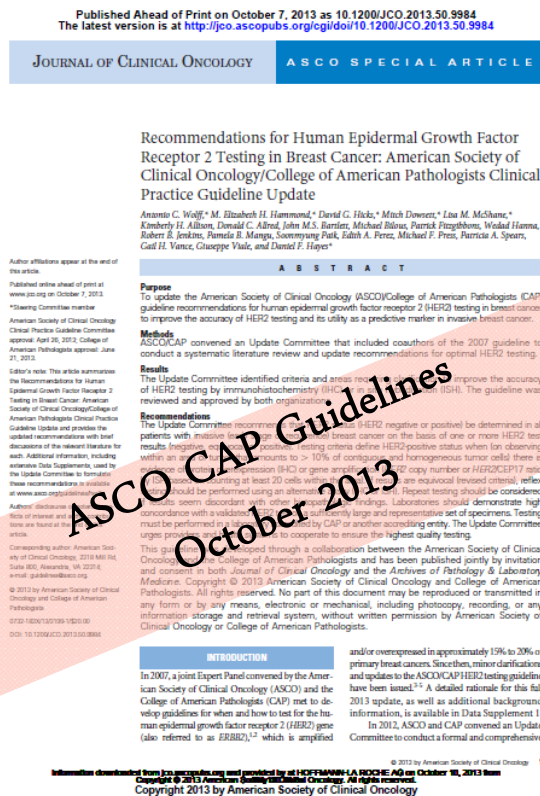
Testing guidelines

- FDA approved test

Reporting guidelines

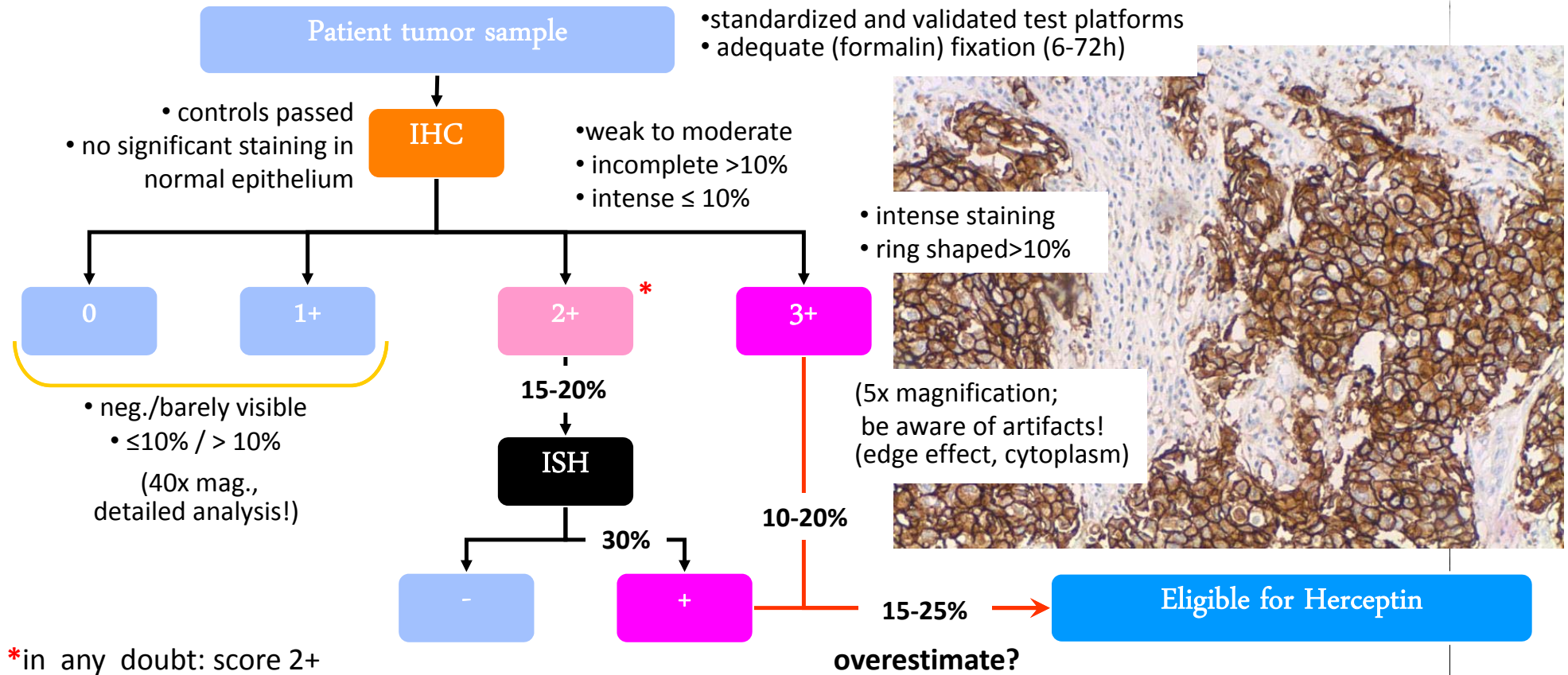
How do we test for HER2 ?

Testing Algorithm



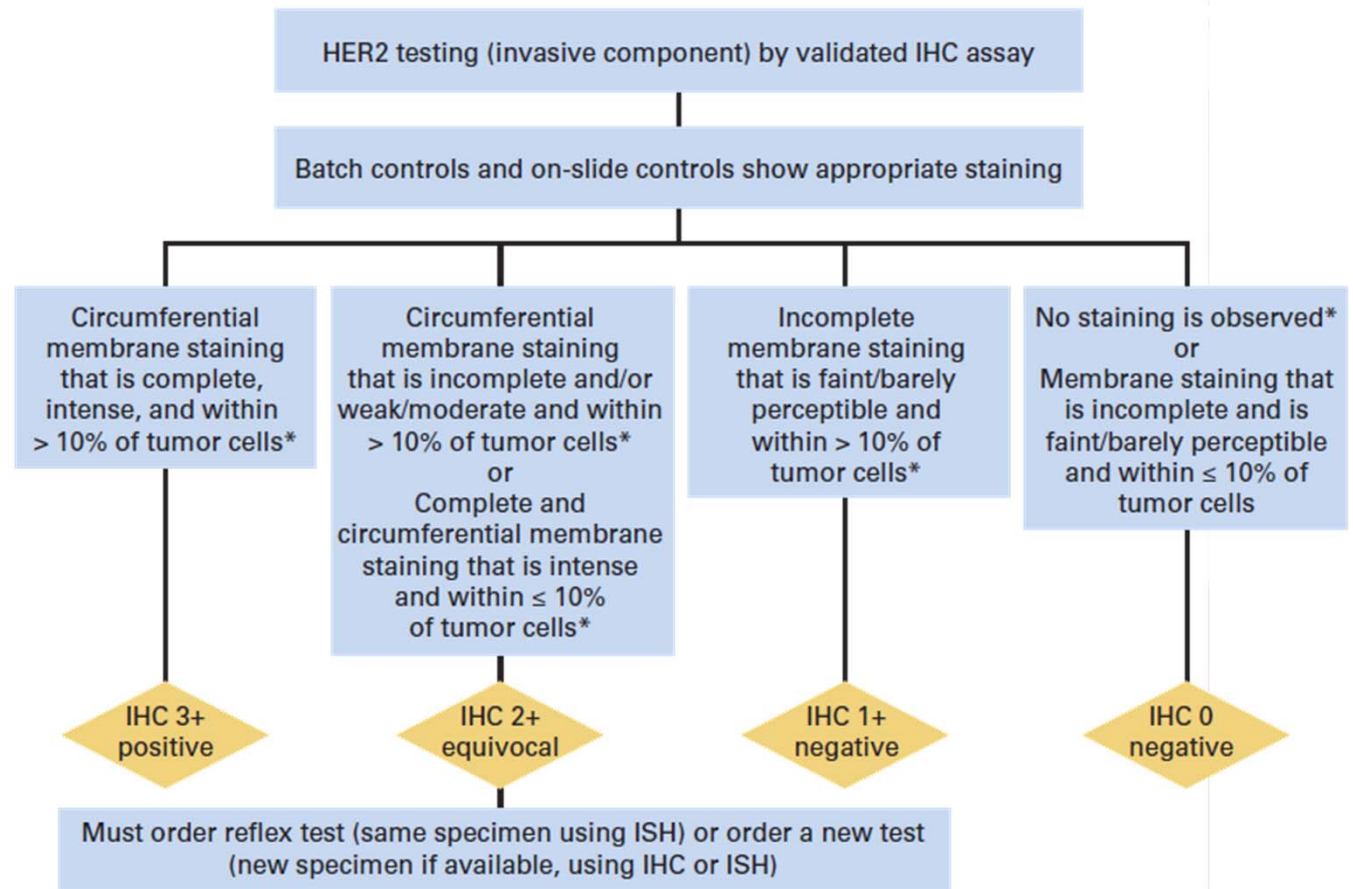
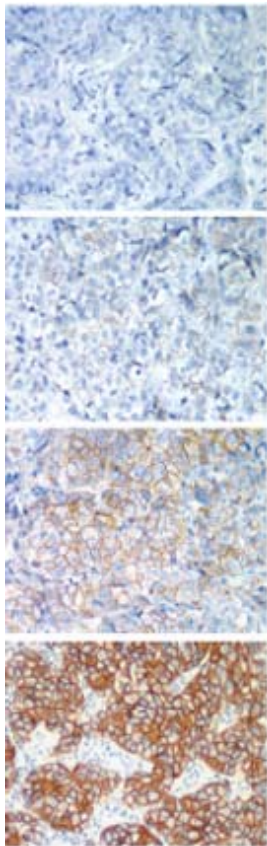
How do we test for HER2 ?


How does the algorithm work ?



How do we test for HER2 ?

HER2 IHC interpretation





Are all
IHC tests
the same?

Explanation text

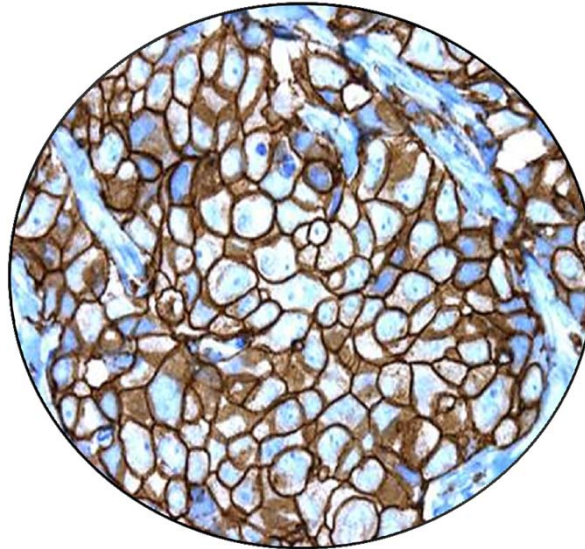
How do we test for HER2 ?

HER2 IHC quality

High Specificity



Pre-dilute



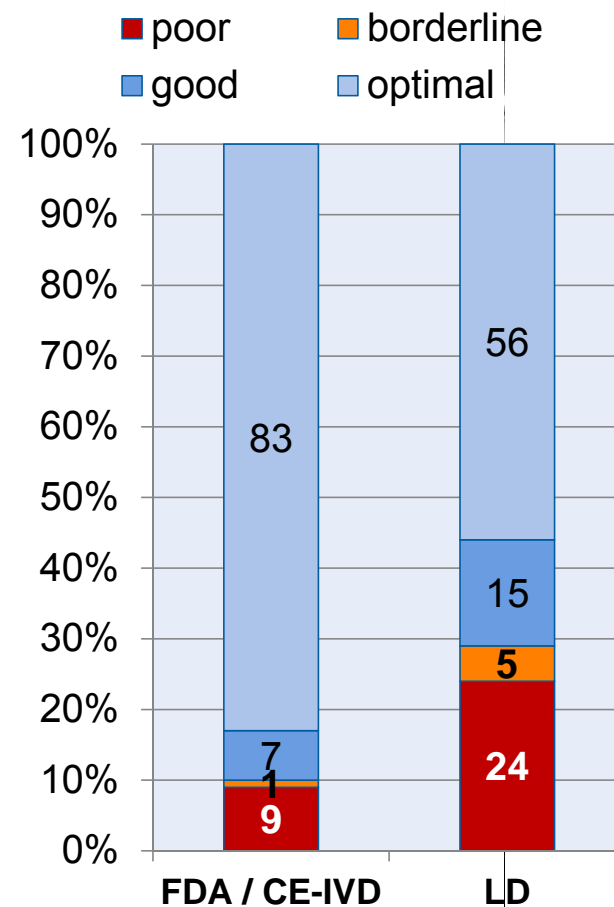
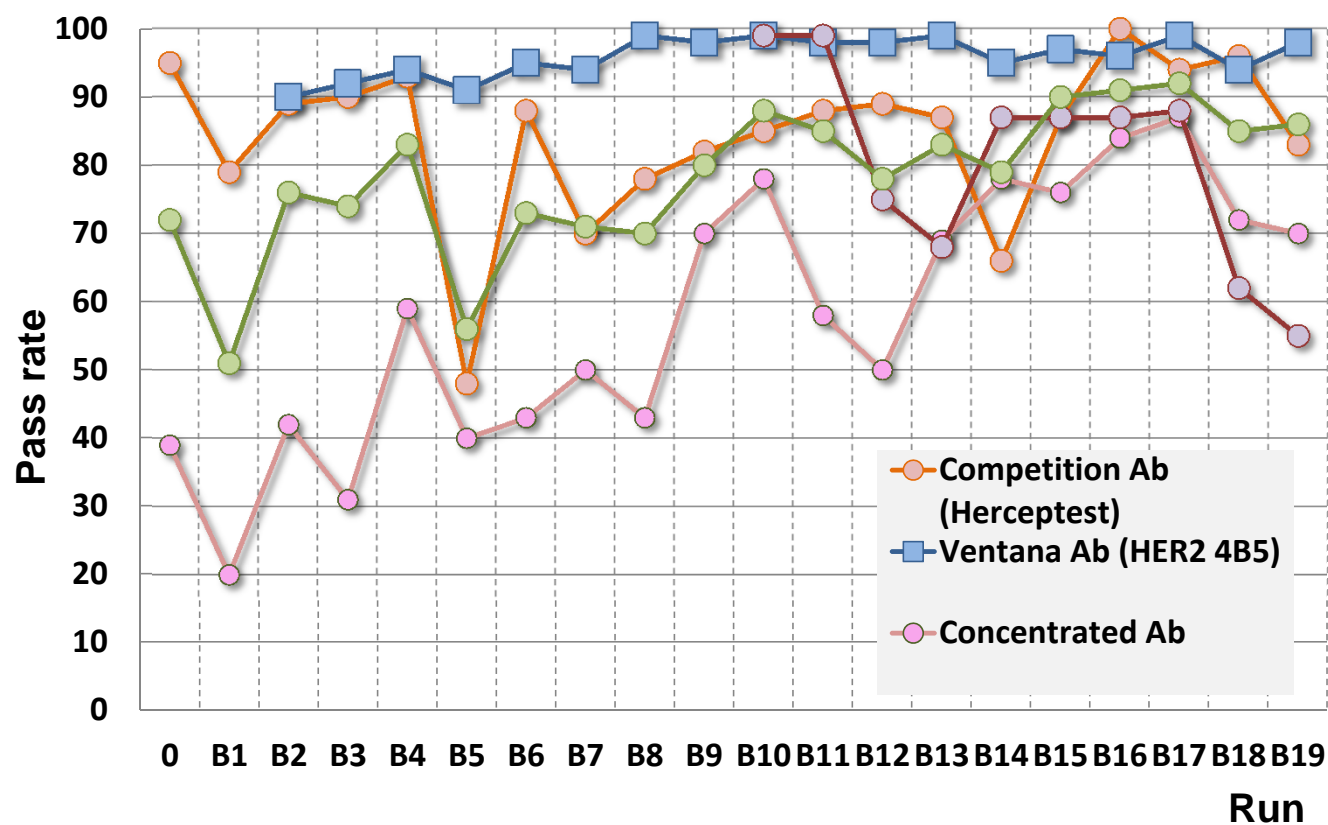
High Sensitivity

EQA

High ISH concordance^{1,2,3}

1. Powell et al. A. Appl. Immunohistochem. Mol. Morphol. 2007;15(1): 94-102.
2. Starczynski et al. National Cancer Research Institute (UK) conference poster. October 2006.
3. Mayr D., et al. Virchows Archiv Mar 2009 (epub: 24 Jan 2009), vol. 454, no. 3, p. 241-8

How do we test for HER2 ? HER2 IHC quality



Suff. OPS 2 = with optimal protocol



In Situ Hybridization n ISH

Explanation text

How do we test for HER2 ?

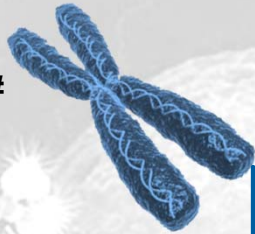
HER2 gene alteration

DNA

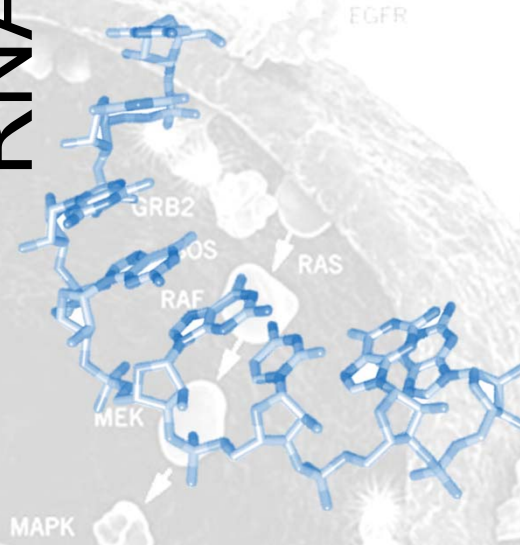


HER2 gene copy # alterations
(amplification or polysomy)

HER2 gene mutations



RNA



HER2 RNA over expression

PROTEIN

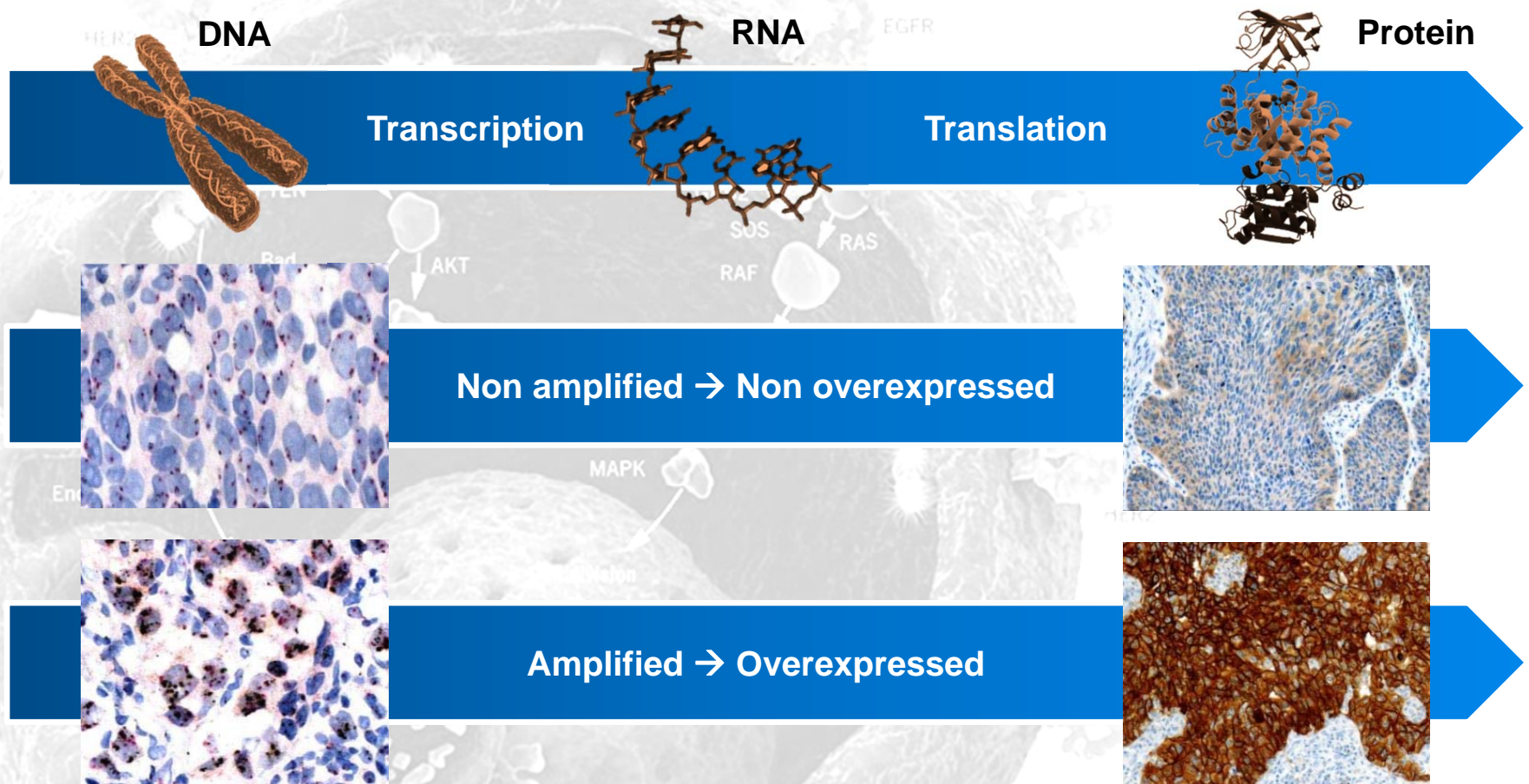


HER2 protein overexpression

HER2 post-translational modifications (e.g., phosphorylation)

How do we test for HER2 ?

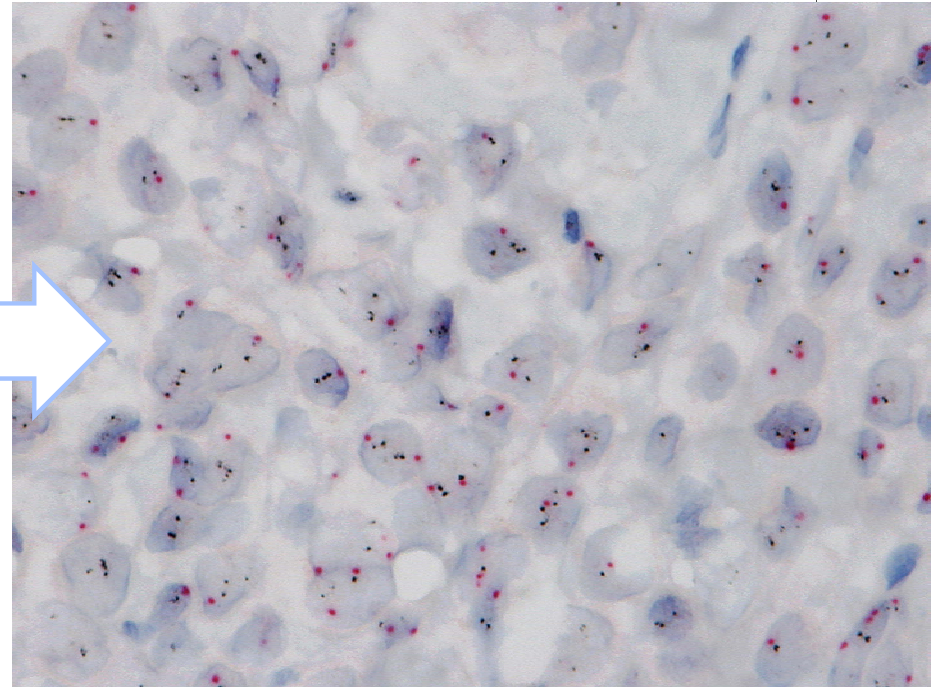
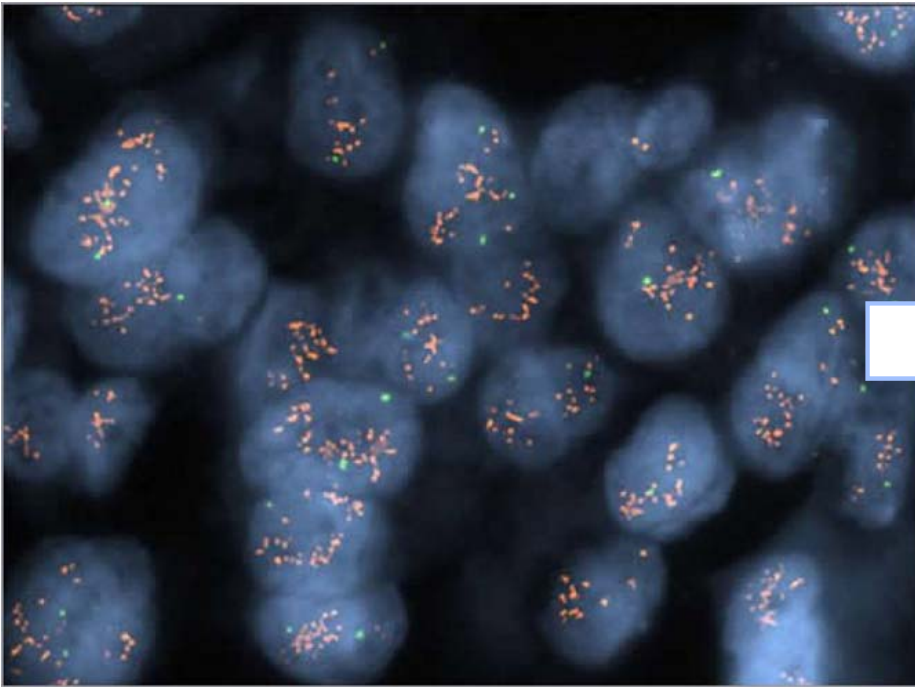
HER2 gene alteration



How do we test for HER2 ?

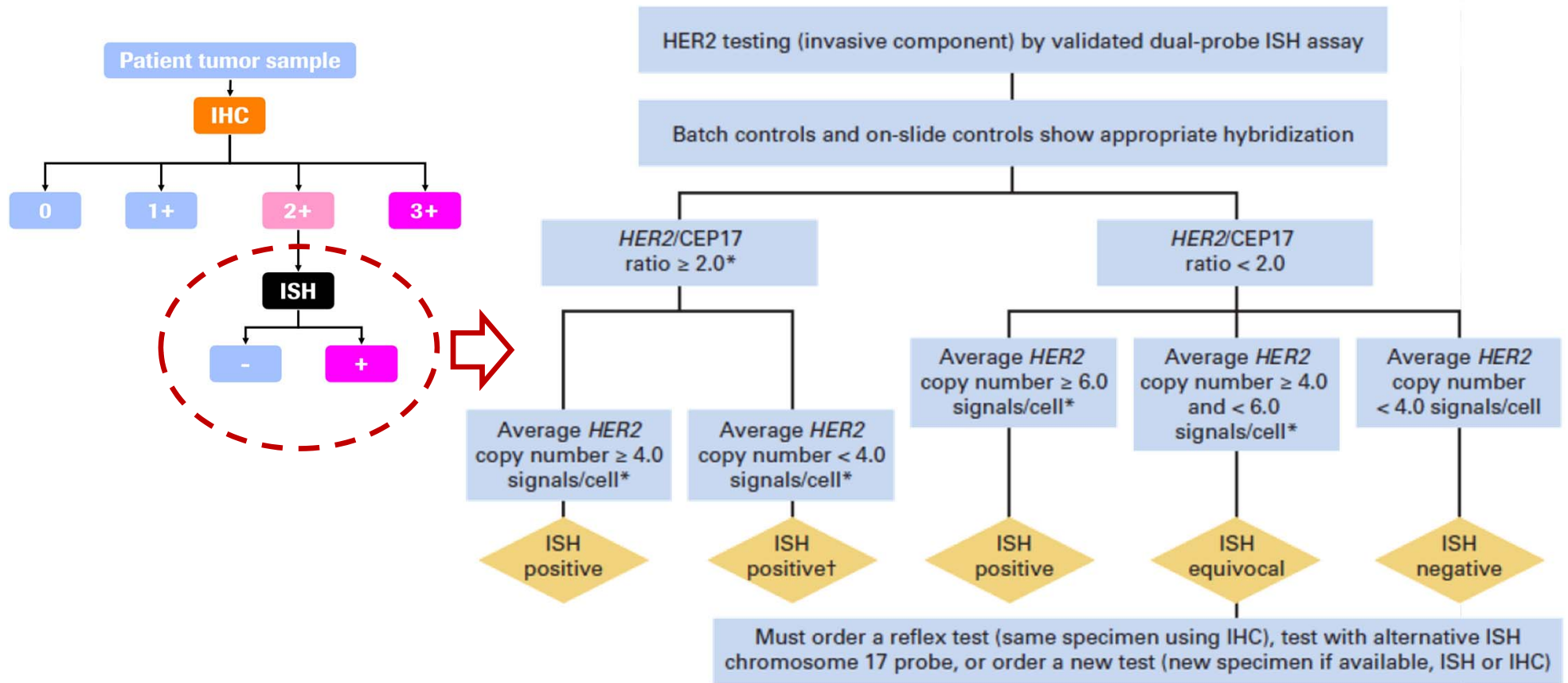
HER2 ISH

- Historical « Gold Standard » is FISH
- ISH method with non-fluorescent detection for detection of HER2 status



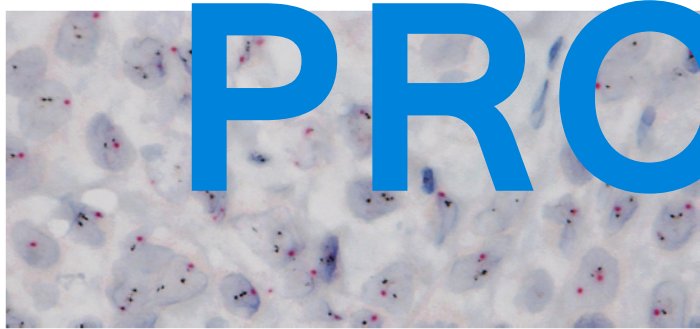
How do we test for HER2 ?

HER2 ISH interpretation



How do we test for HER2 ?

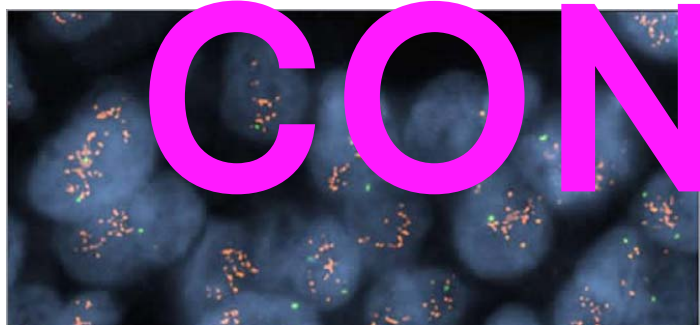
Technical point of view



PRO

Dual ISH (brightfield ISH)

- Fully automated
- Brightfield microscopy
- Morphological context
- Archivable
- High sensitivity (detection of single gene copy)



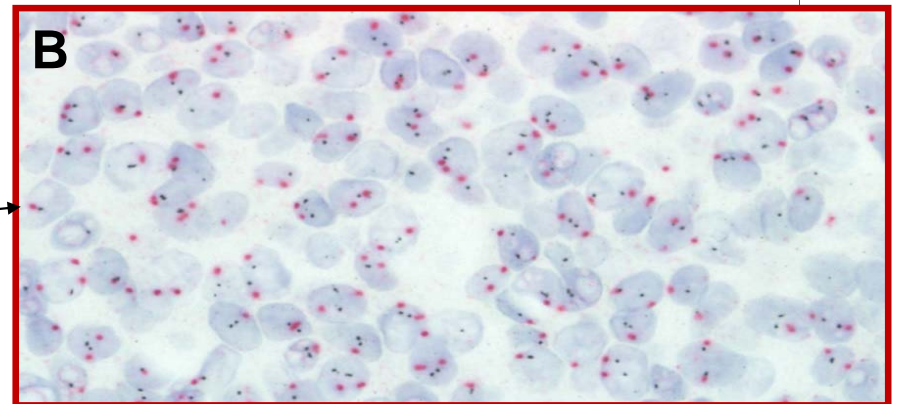
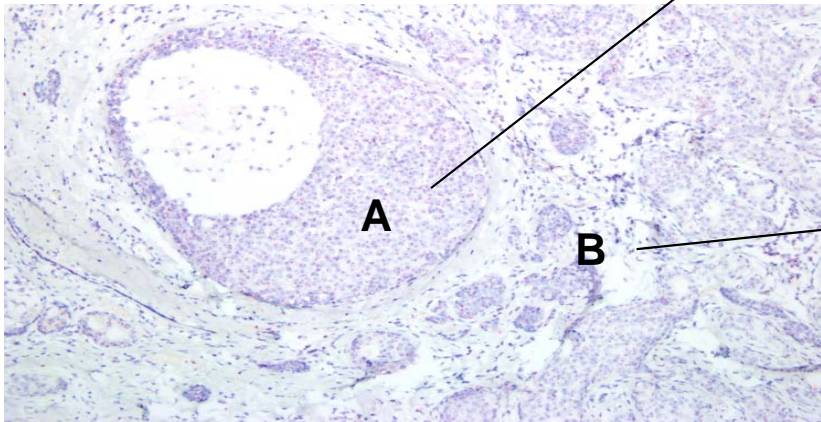
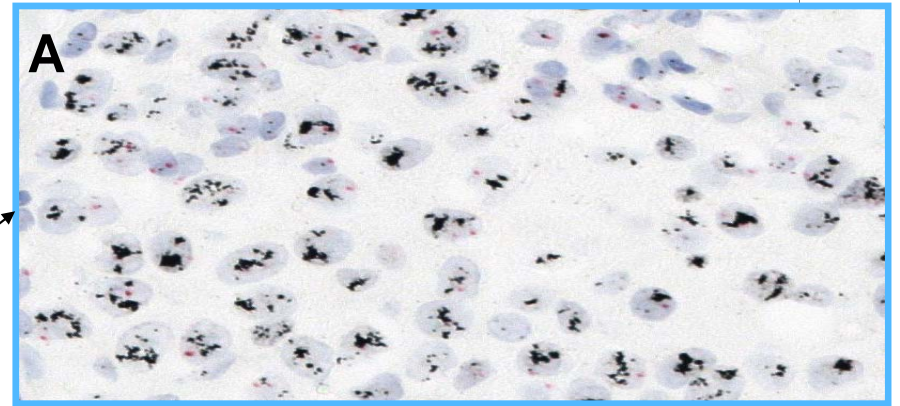
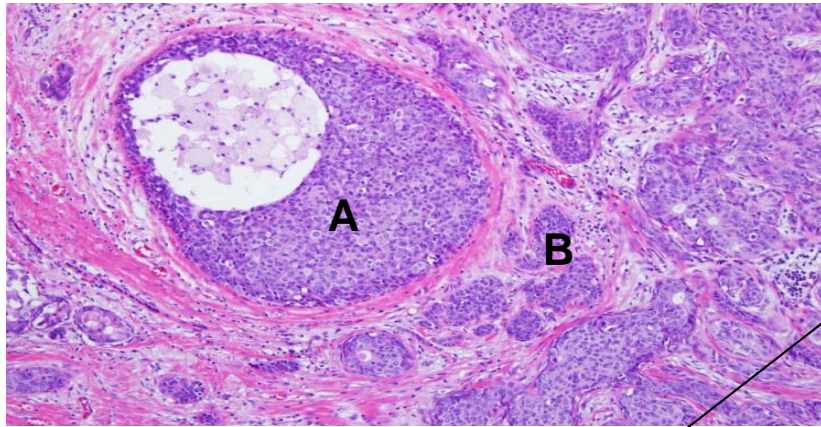
CON

FISH (Fluorescent ISH)

- Manual Assay
- Does not fit into a pathologist's workflow (i.e. fluorescent microscope necessary)
- Poor morphology
- Non-archivable : signal quenches over time

How do we test for HER2 ?

Morphological context



How do we test for HER2 ?

Technical point of view

ORIGINAL ARTICLE

MODERN PATHOLOGY (2012) 25, 675–682
© 2012 USCAP, Inc. All rights reserved 0893-3952/12 \$32.00



675

Bright-field In Situ Hybridization for *HER2* Gene Amplification in Breast Cancer Using Tissue Microarrays: Correlation Between Chromogenic (CISH) and Silver-enhanced (SISH) Methods With Fluorescence In Situ Hybridization

“96% concordance”

Virchows Arch (2007) 451:19–25
DOI 10.1007/s00428-007-0424-5

ORIGINAL ARTICLE

Glenn D. Francis, MBBS, FRCPA, MBA,* Mark J. Griffin, MBBS, FRCPA, FRCR,† and Geoffrey F. Beadle, MBBS, FRACP, FRACR,‡ and Si

using monoclonal antibody and polyclonal antibody
Jung Sik Jang, Eun Jeong Jang and Ji-Young Jang
Department of Pathology, Kyungpook National University Hospital

Dual-color silver-enhanced hybridization for assessing amplification in breast cancer

Young Wha Koh^{1,*}, Hee Jin Lee^{1,*}, Jong Won Lee², Jun Hui Lee³

¹Department of Pathology, University of Ulsan College of Medicine, Asan Medical Center, Seoul, Korea

²Department of Surgery, University of Ulsan College of Medicine, Asan Medical Center, Seoul, Korea

³Department of Pathology, Military Manpower Administration, Government of Republic of Korea, Seoul, Korea

Comparison of automated silver enhanced in situ hybridisation (SISH) and fluorescence ISH (FISH) for the validation of *HER2* gene status in breast carcinoma according to the guidelines of the American Society of Clinical Oncology and the College of American Pathologists

M. Dietel • I. O. Ellis • H. Höfler • H. Kreipe • H. Moch • A. Dankof • K. Köhlbe • G. Kristiansen

Received: 24 April 2007 / Accepted: 24 April 2007 / Published online: 12 June 2007
© Springer-Verlag 2007

Kirsten Gadgaard Jensen, HT,* and Vibeke Jensen, MD, PhD†

“96% concordance of SISH”

“96% concordance”

“97% SISH”

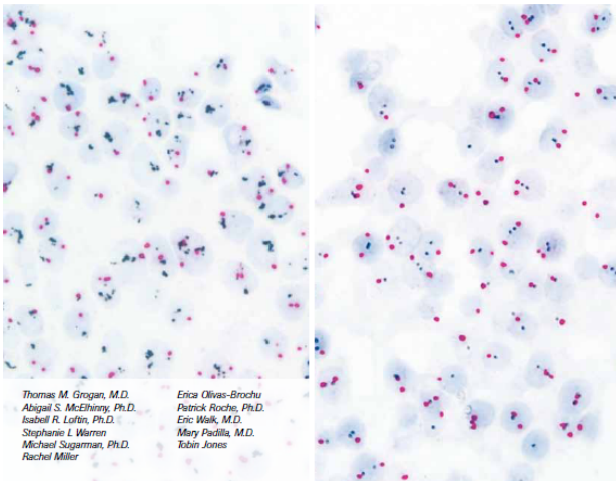
How do we test for HER2 ?

Interpretation support

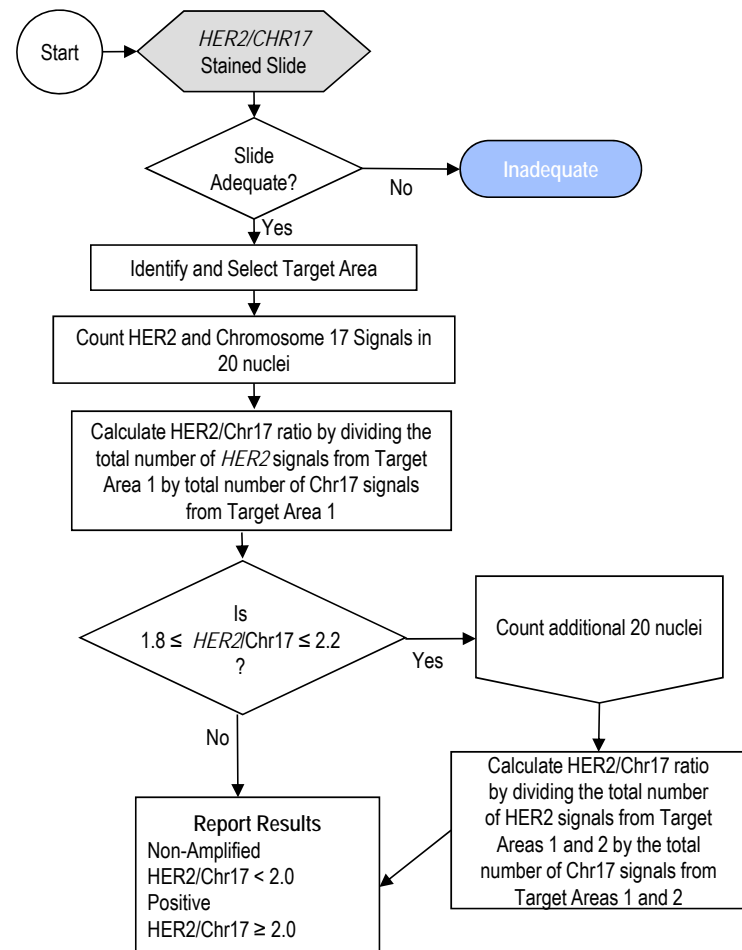


Interpretation Guide

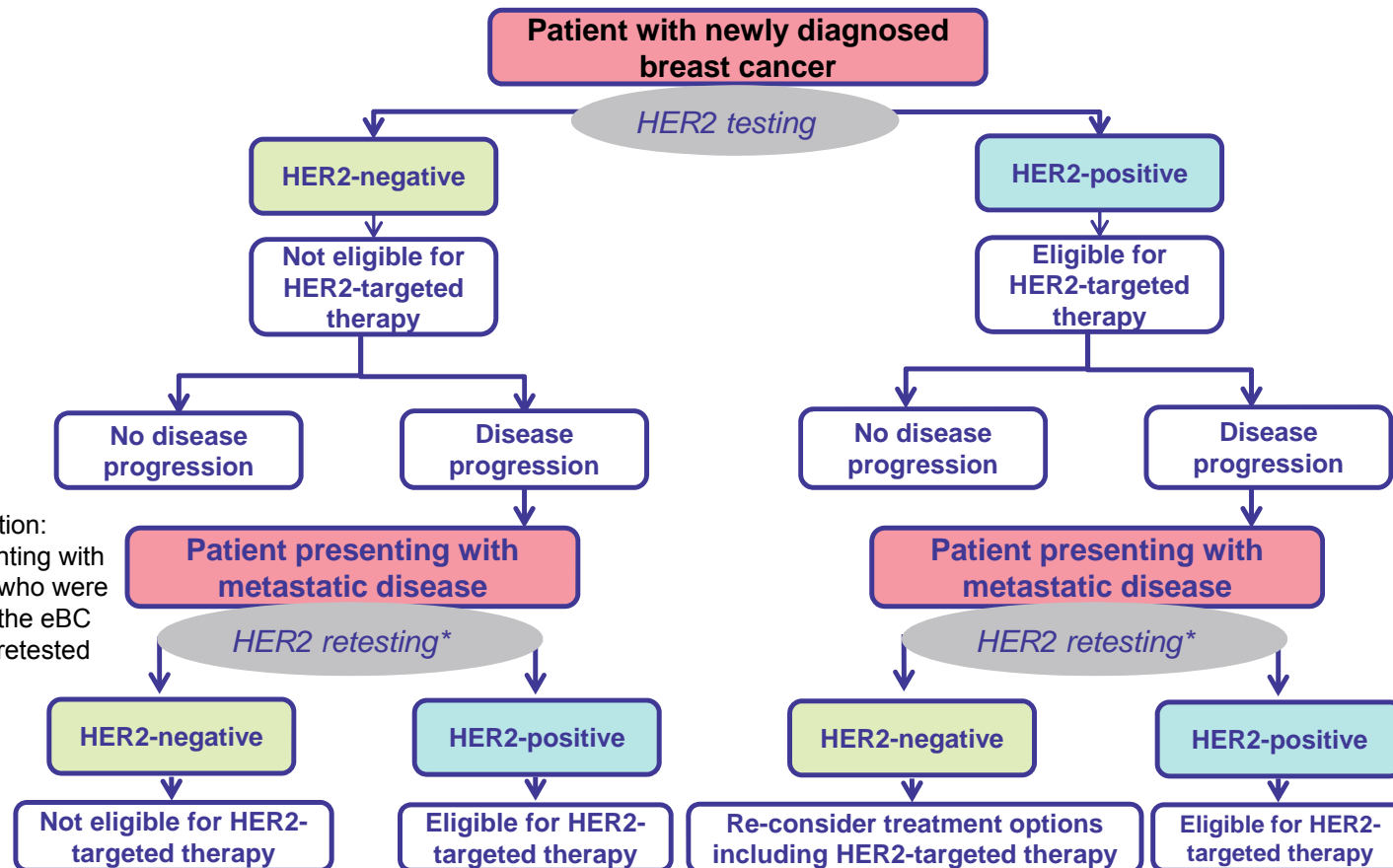
Ventana INFORM HER2 Dual ISH
DNA Probe Cocktail Assay



Scoring algorithm flow diagram



Who should be tested for HER2



Recommendation:
ALL patients presenting with metastatic disease who were HER2-negative in the eBC setting should be retested

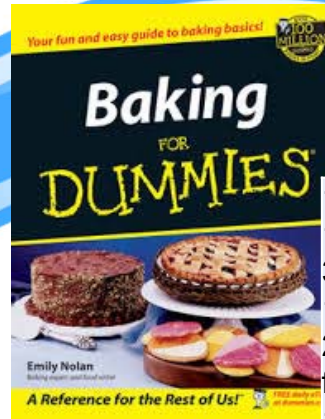
*HER2 test performed in a metastatic site, if tissue sample is available; especially considered for a patient who previously tested HER2-negative in a primary tumour and presents with disease recurrence with clinical behaviour suggestive of HER2-positive or triple-negative disease.



Pre Analytics consideration n

How will the pre-analytics processing impact the diagnosis and the patient management ?

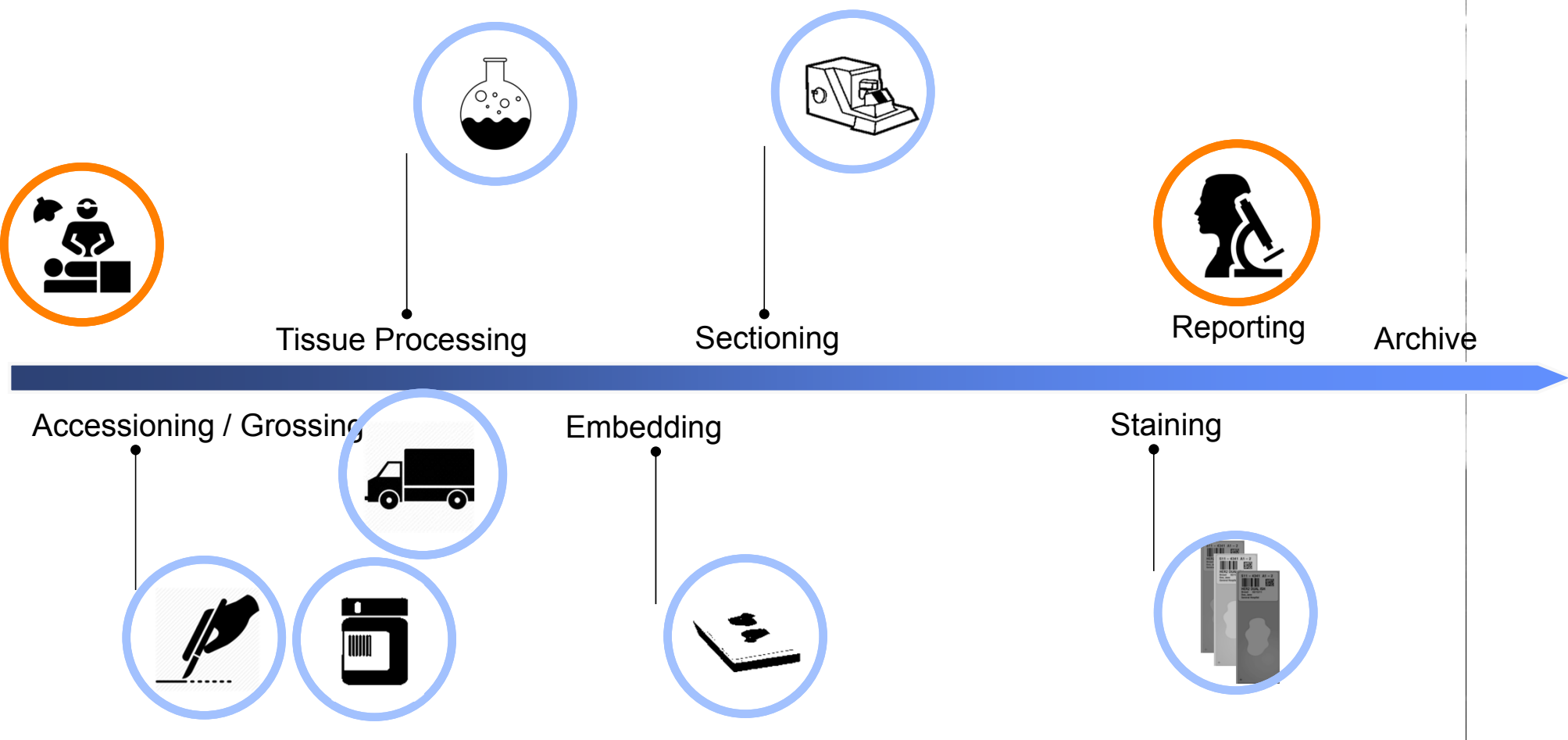
Guidelines for pre – analytics?



- | | |
|-------------------------------------|---------------------------------|
| 10 tablespoons butter | 1 cup buttermilk |
| 1 1/2 cups white sugar | 3/4 teaspoon lemon extract |
| 3 eggs | 1/2 cup golden raisins |
| 1 tablespoon grated lemon zest | |
| 2 1/2 cups sifted all-purpose flour | 1/3 cup white sugar |
| 1/2 teaspoon salt | 1/3 cup butter |
| 1/2 teaspoon baking soda | 1 1/2 tablespoons water |
| 1 teaspoon baking powder | 2 tablespoons fresh lemon juice |
1. Preheat oven to 325 degrees F (165 degrees C). ...
 2. Cream 1/2 cup plus 2 tablespoons butter and 1 1/2 cups sugar Add eggs one at a time beating after each addition. Blend in the lemon peel.
 3. In a separate bowl, mix flour, salt, soda and baking powder. Add flour mixture alternately with buttermilk to creamed butter mixture. Add lemon extract and raisins.
 4. Bake at 325 degrees F (165 degrees C) for 50 minutes cool 5 minutes, then turn out onto serving plate. Prick hot cake with skewer or fork and pour on lemon topping.
 5. Combine 1/3 cup sugar, 1/3 cup butter and water in a saucepan and heat until butter melts. Add lemon juice . Spoon over hot cake



Anatomic pathology tissue specimen workflow



Pre analytics is not so simple

- Time to fixation
- Type of fixation
- Quality of fixative
- Duration of fixation
- Tempertaure of fixation
- Quantity of fixative
- Tissue to fixative ratio

Reception - Fixation

- Spreading Temperature
- Spreading medium
- Spreading technique
- Type of slide
- Storage of slides
- Microtome temperature
- Section thickness

Microtomy to slide

- Duration
- Temperature
- Hygrometry
- Type of support

Archive

Sample transfer

- Transfer Duration
- Transfer Temperature
- Transfer conditions
- Sample Size
- Fresh v. fixed
- Tissue type
- Type of container

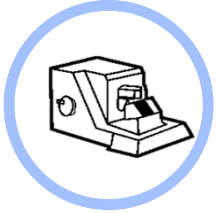
Dehydratation -Embedding

- Processor / Protocols
- Bath duration / Week end
- Reagent turn over / Quality of reagent
- Temperature of reagents
- Temperature & melting point of wax

Drying

- Duration
- Method
- Temperature

Targeting the Pre analytical



1

Cold Ischemia time

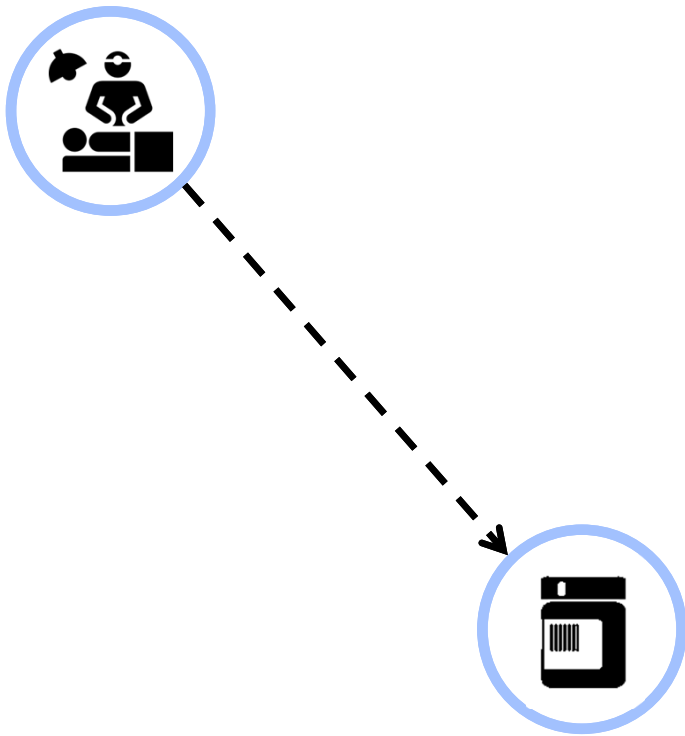
2

Fixation

3

parameters
Tissue processing

What is cold ischemia time



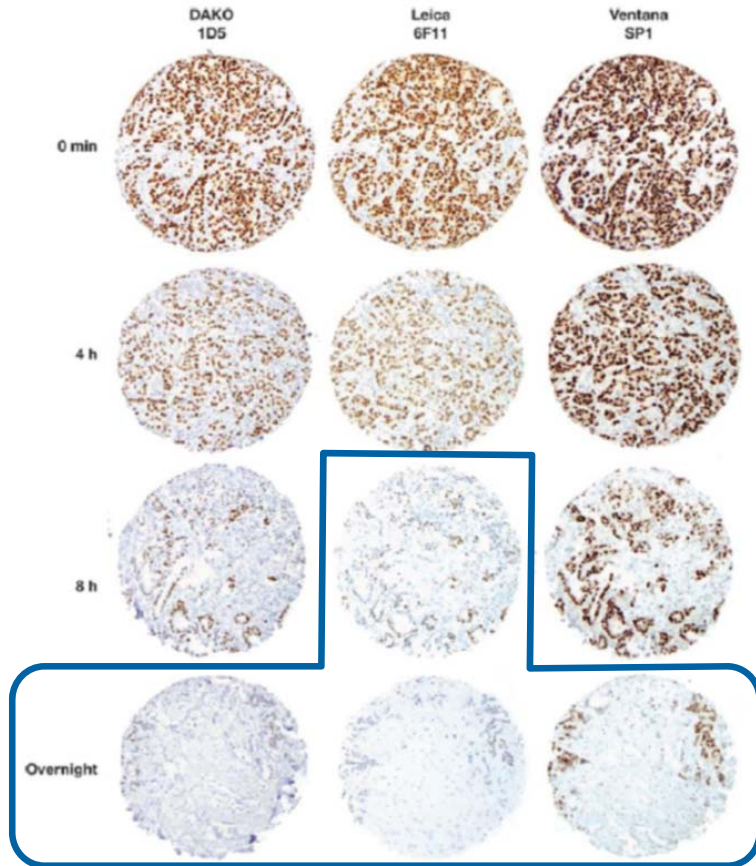
Definition :

time from the removal of the tissue from the patient to the initiation of tissue fixation

- tissue ischemia,
- acidosis,
- enzymatic degradation

But what can be the impact to the patient management?

Effect of Cold Ischemia time



For long ischemia time Estrogen Receptor Status might be interpret as negative (False Negative)

Impact for patient management



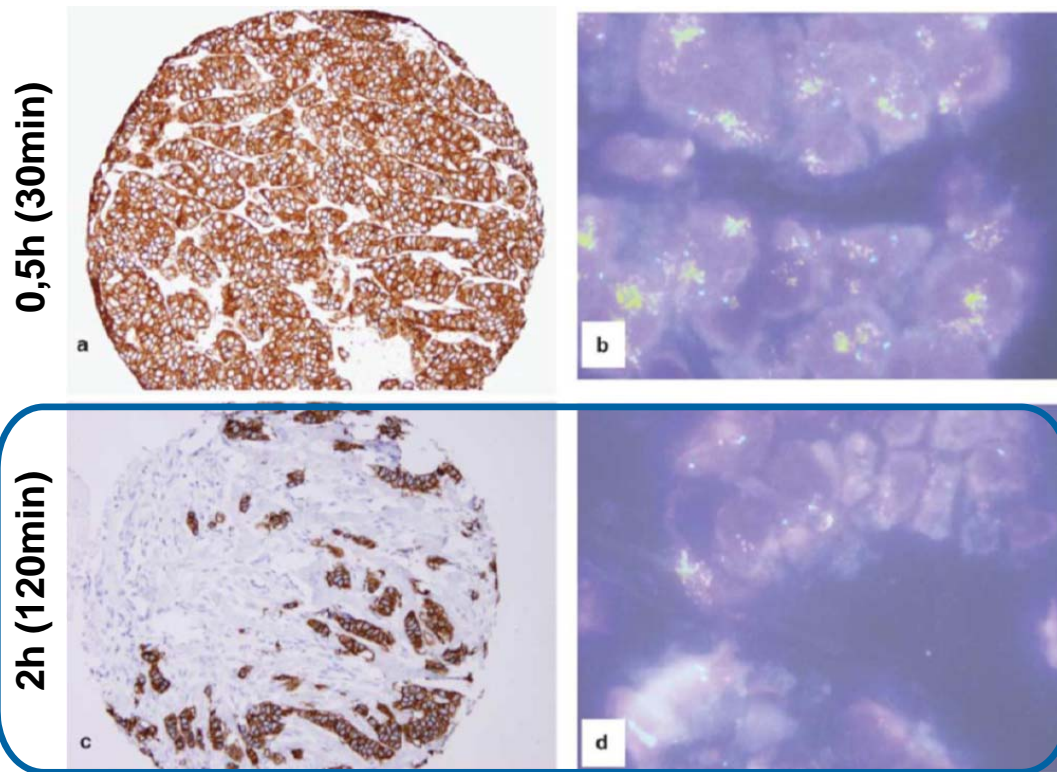
No Hormonal Therapy



Higher Risk of Recurrence

But what can be the impact to the patient management?

Effect of Cold Ischemia time



For 2hrs ischemia time HER2 status is highly impacted (false negative or non interpretable)

Impact for patient management

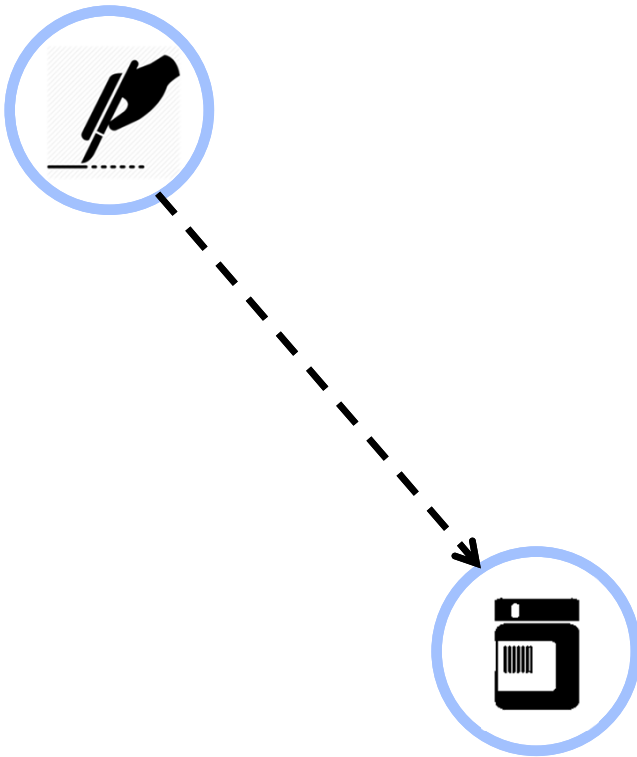


No HER2 targeted therapies



Worse outcome for the patient

What is tissue fixation



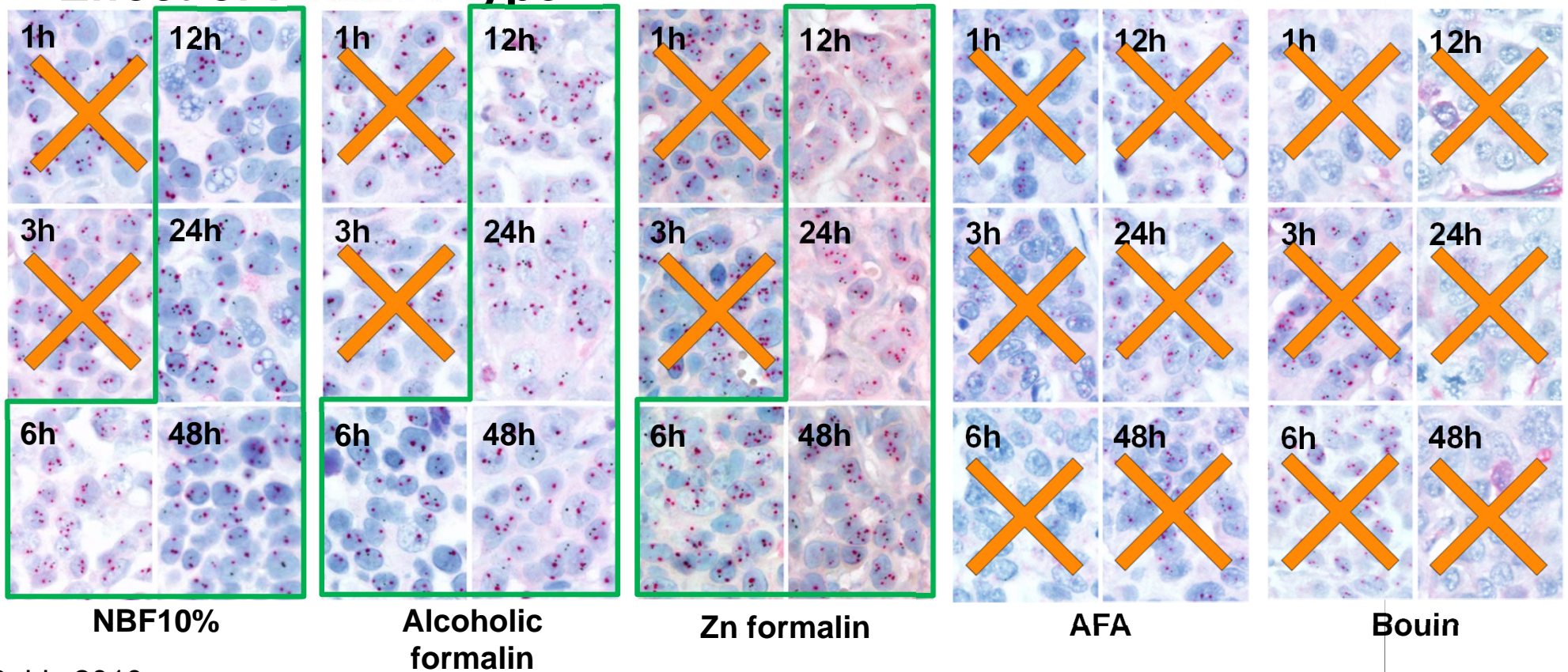
Kills the tissue so that no post-mortem activities can occur :

- decay,
- putrefication (bacterial attack)
- autolysis (enzyme attack)

must change the soluble contents of the cell into insoluble substances so that those substances are not lost during subsequent processing steps

But what can be the impact to the patient management?

Effect of Fixative type



Bouin

Bouin

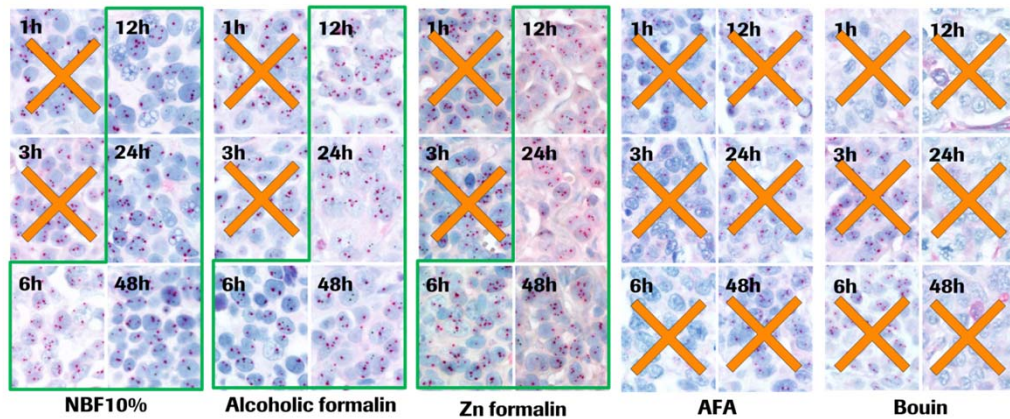
Bouin

Bouin

Bouin

But what can be the impact to the patient management?

Effect of Fixative type



**10% Neutral
Buffered Formalin**

Use of different type of fixative will impact result (poor IHC quality, non interpretable results)

Impact for patient management

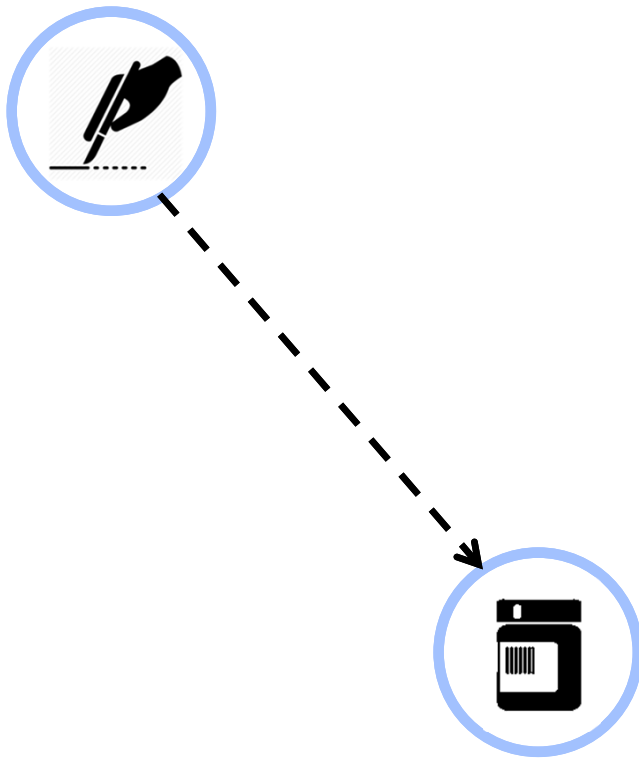


False negative / False Positive



Worse outcome for the patient

Some basic rules to keep in mind



There are 2 effects ongoing in parallel during fixation with formalin



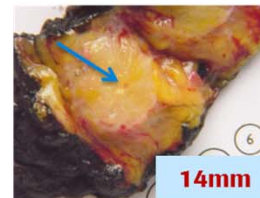
Penetration of fixative
Diffusion



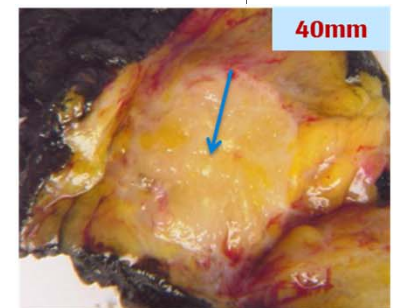
cross-linking proteins
-CH₂- linkage



10 min

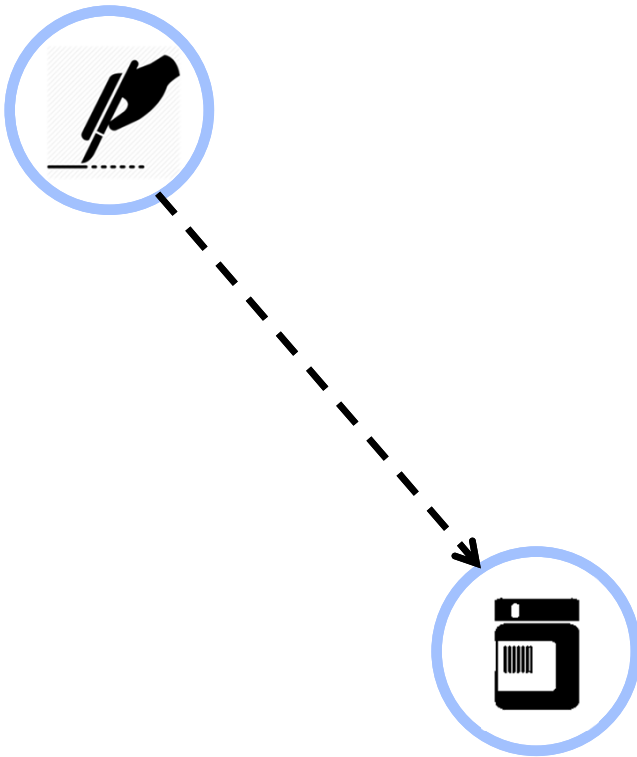


16hrs



5 days

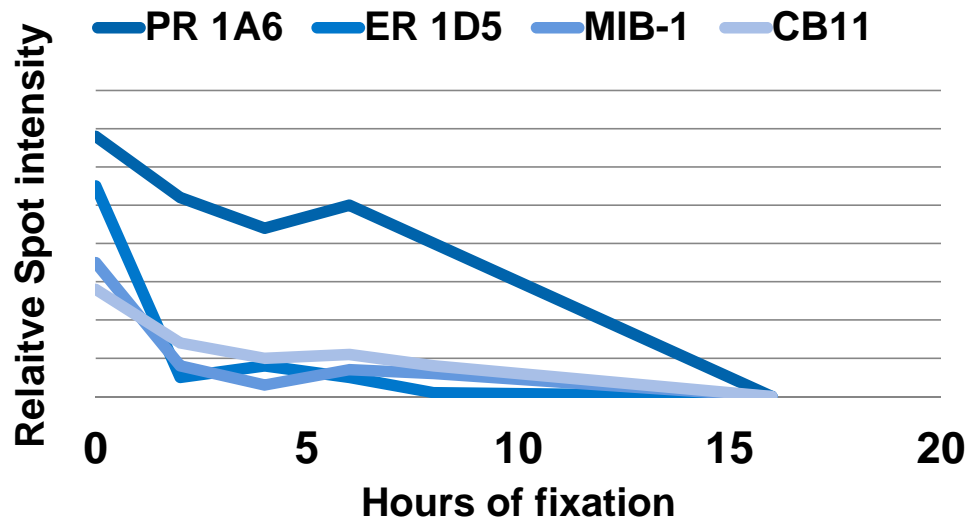
Some basic rules to keep in mind



- 10% Neutral Buffered Formalin NBF : Buffer pH 7.2-7.4
- Penetration : Formalin penetrates fast, but continues to cross link proteins for a long time after penetration is complete
- Volume 10:1 in a container.
- Cut Thickness 3-5 mm
- Temperature 22°C – 37°C

But what can be the impact to the patient management?

Effect of Fixative time



- Epitope can be hidden through fixation
- Pretreatment will open access to the epitope

Extended fixation time might generate weak staining

Impact for patient management

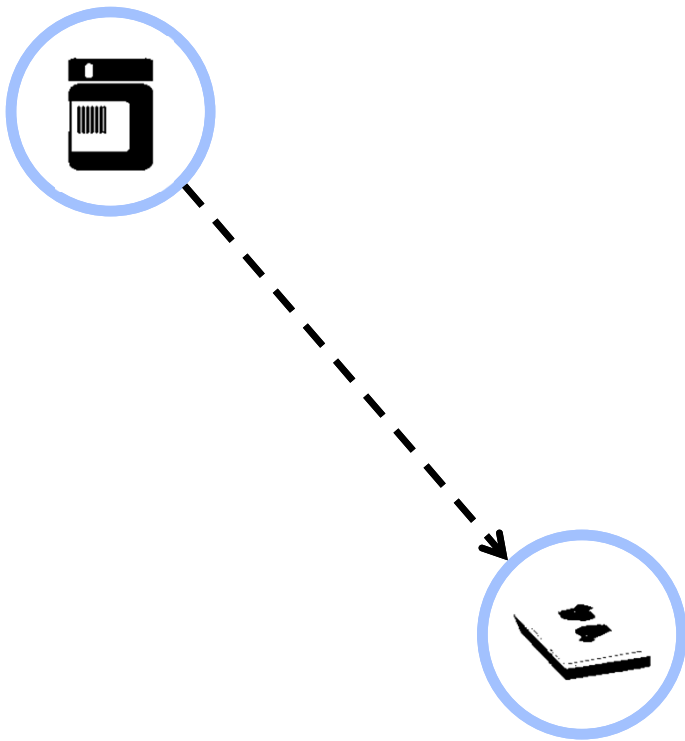


False negative



Under/no treatment /
missclassification

What is tissue processing

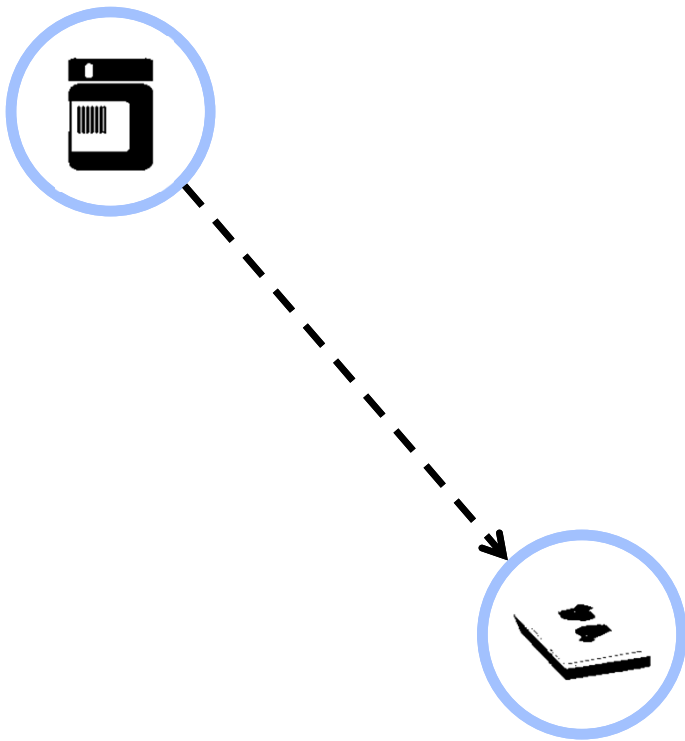


The purpose of tissue processing is to transform the cut tissue into a form hard enough to enable cutting into very thin sections

This is done by a series of steps to remove water, ultimately infiltrating the tissue with paraffin wax

But what can be the impact to the patient management?

Effect of Fixative processing



Avoid contamination

Lead to false diagnosis by mixing patients

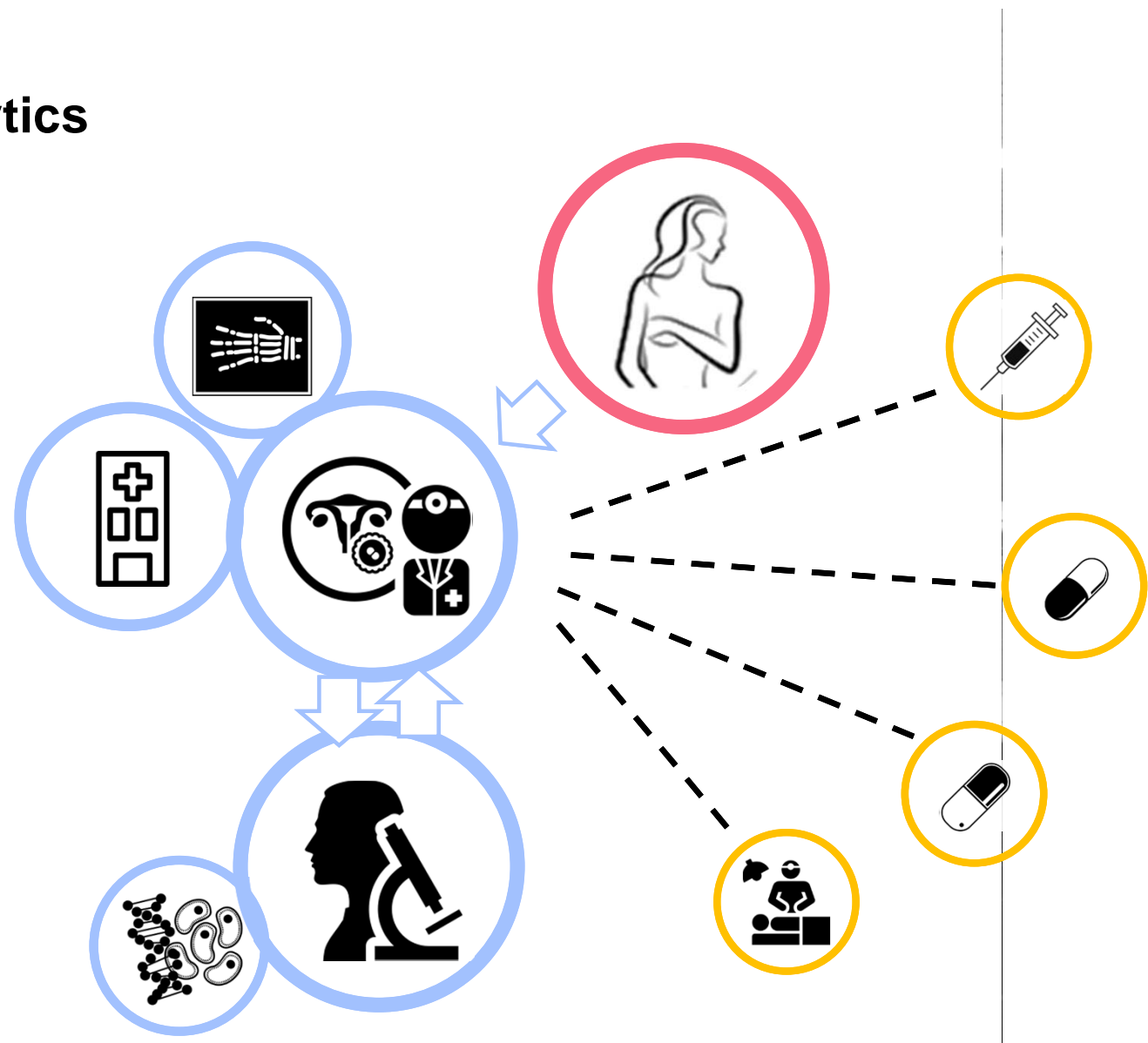
Avoid excessive heat

Poor quality of H&E and IHC staining

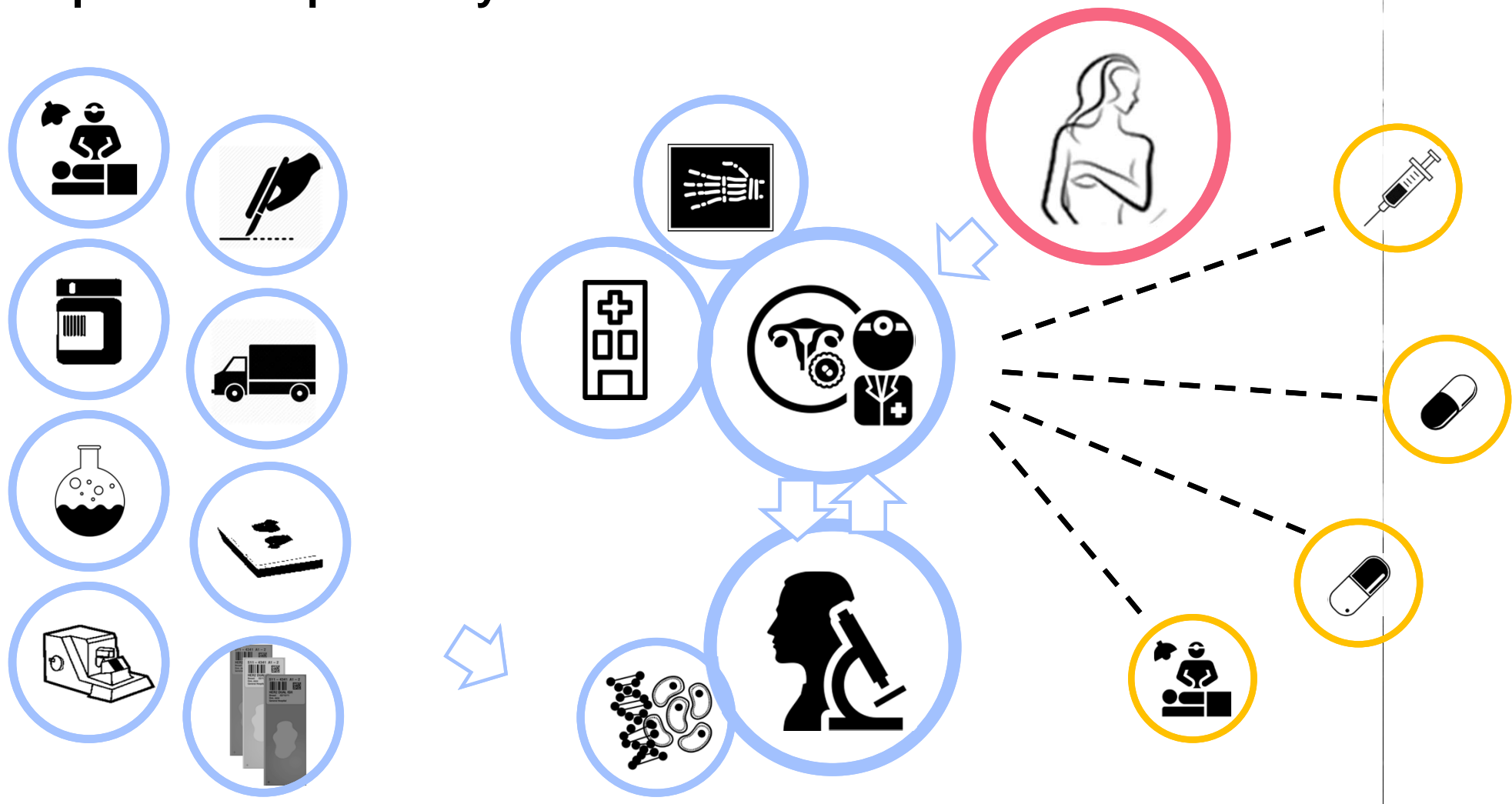
Slicing quality

Poor morphology and artifacts

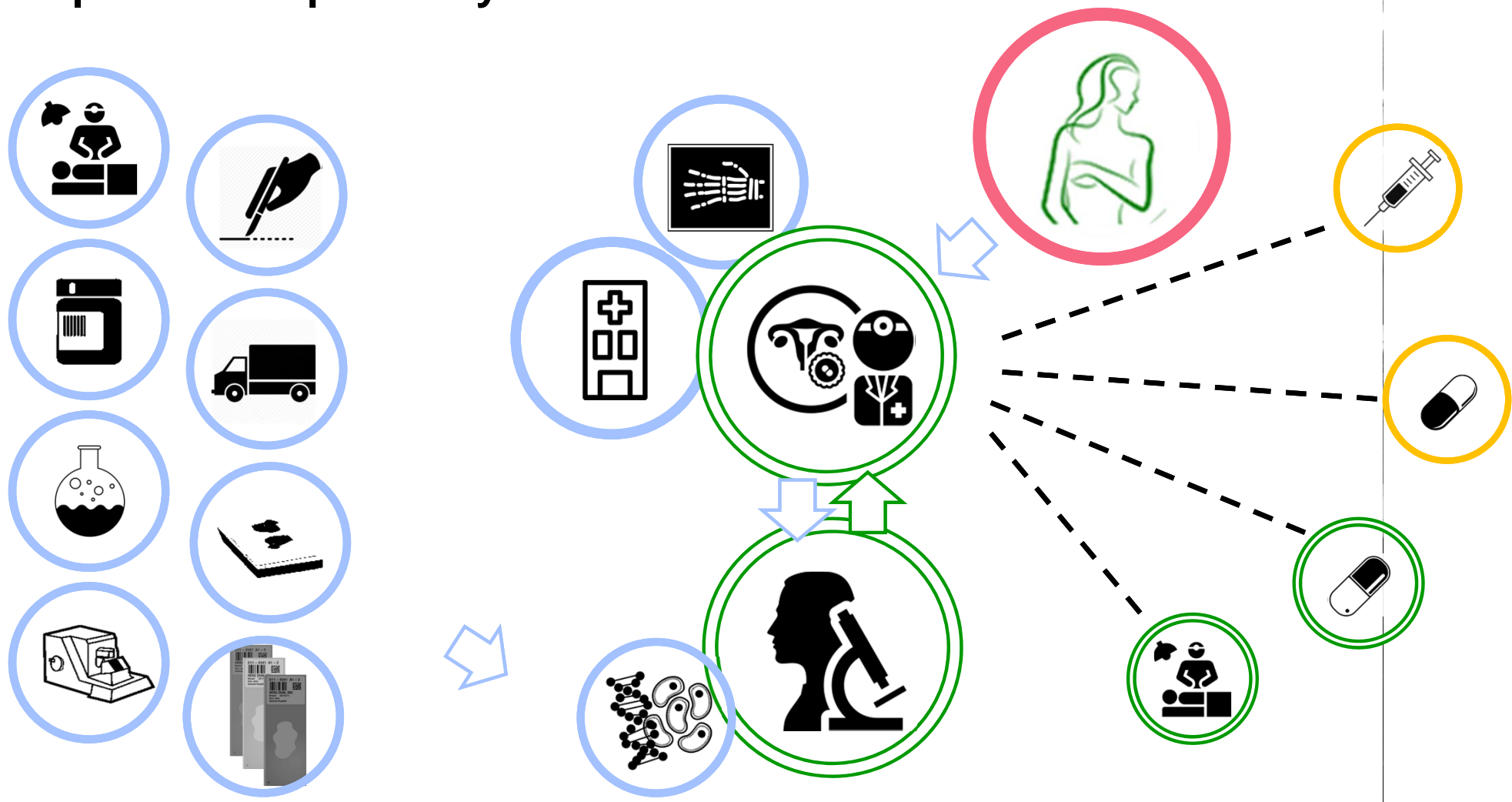
Impact of the pre analytics



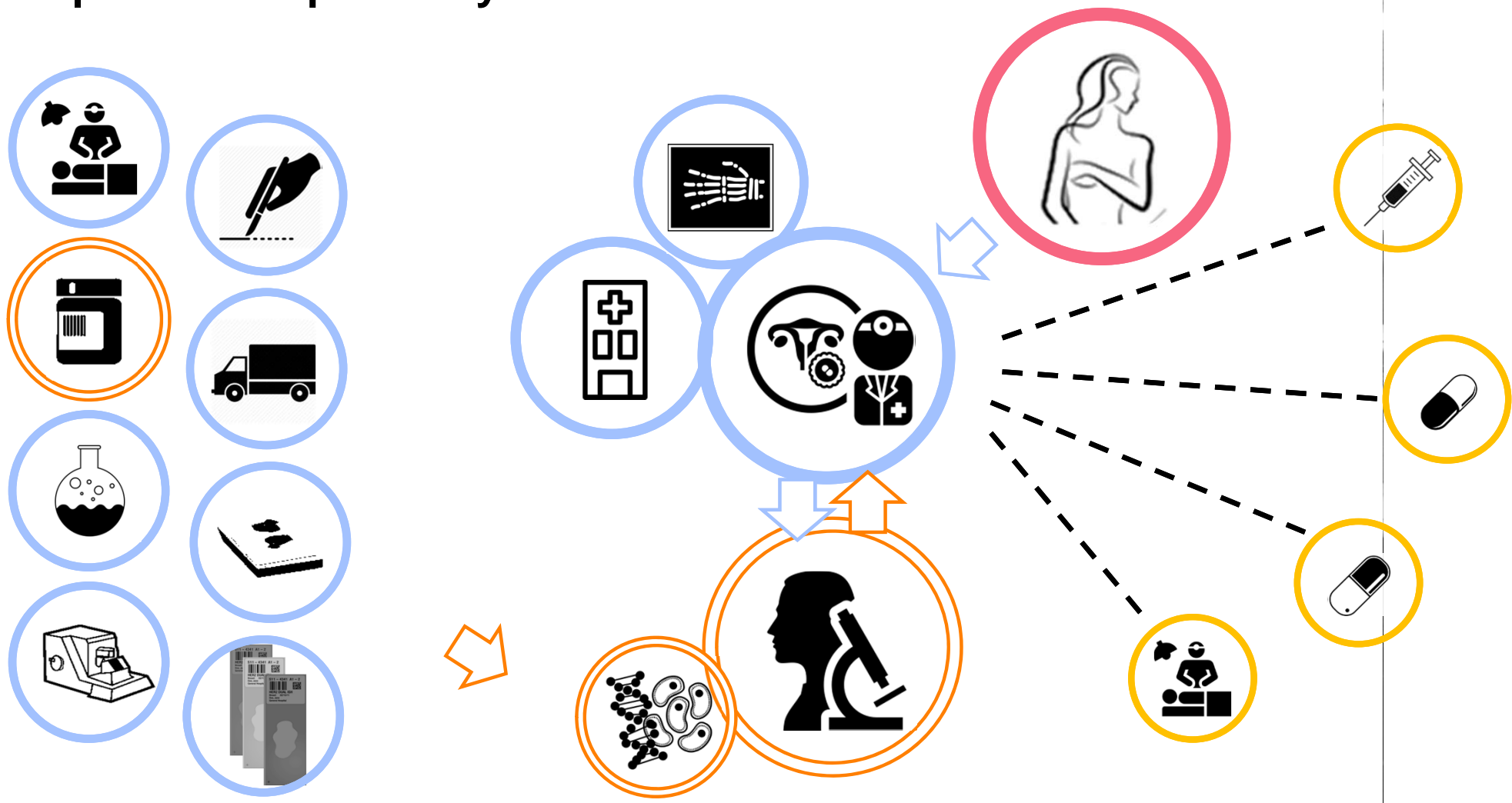
Impact of the pre analytics



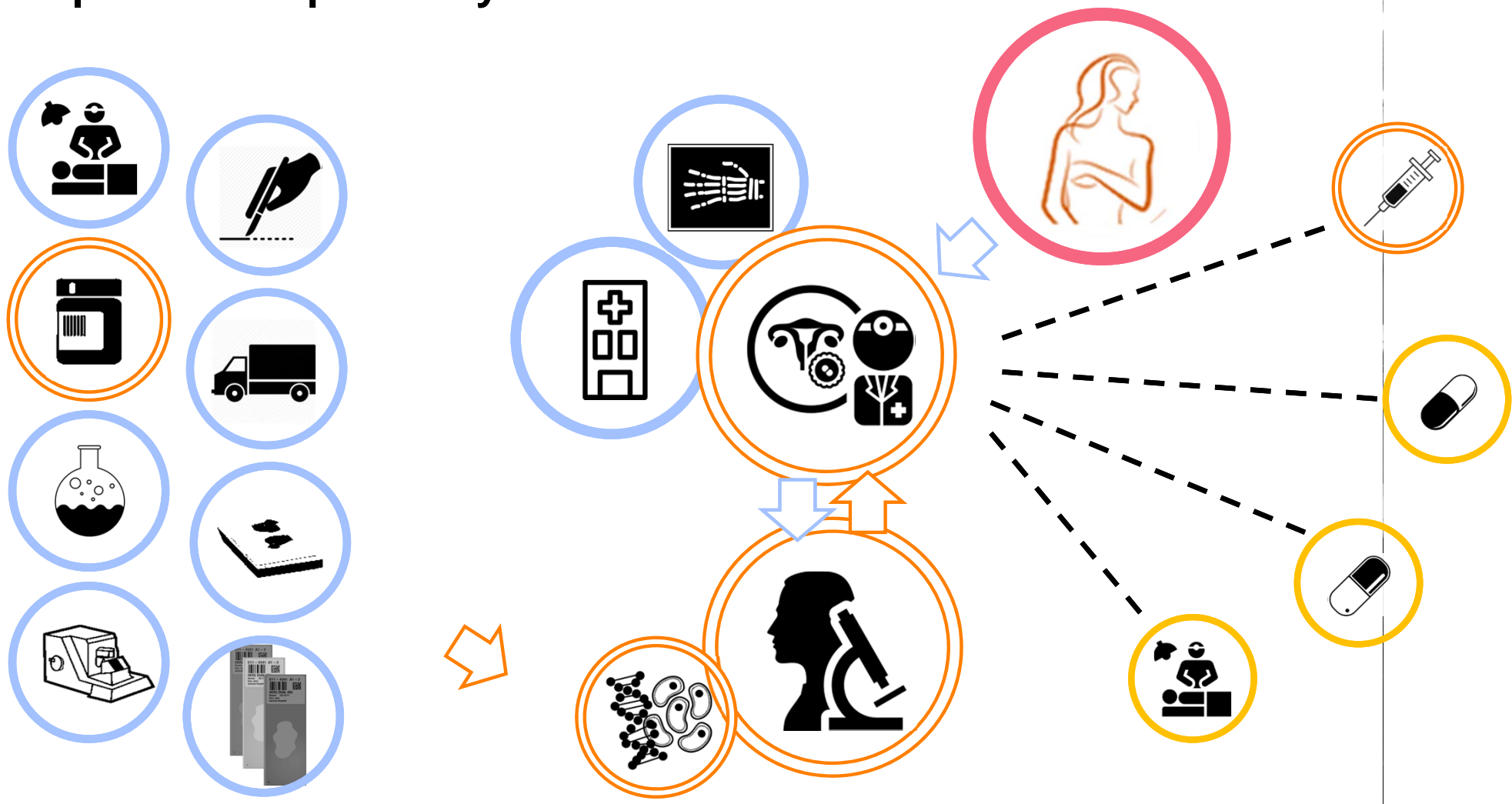
Impact of the pre analytics



Impact of the pre analytics



Impact of the pre analytics





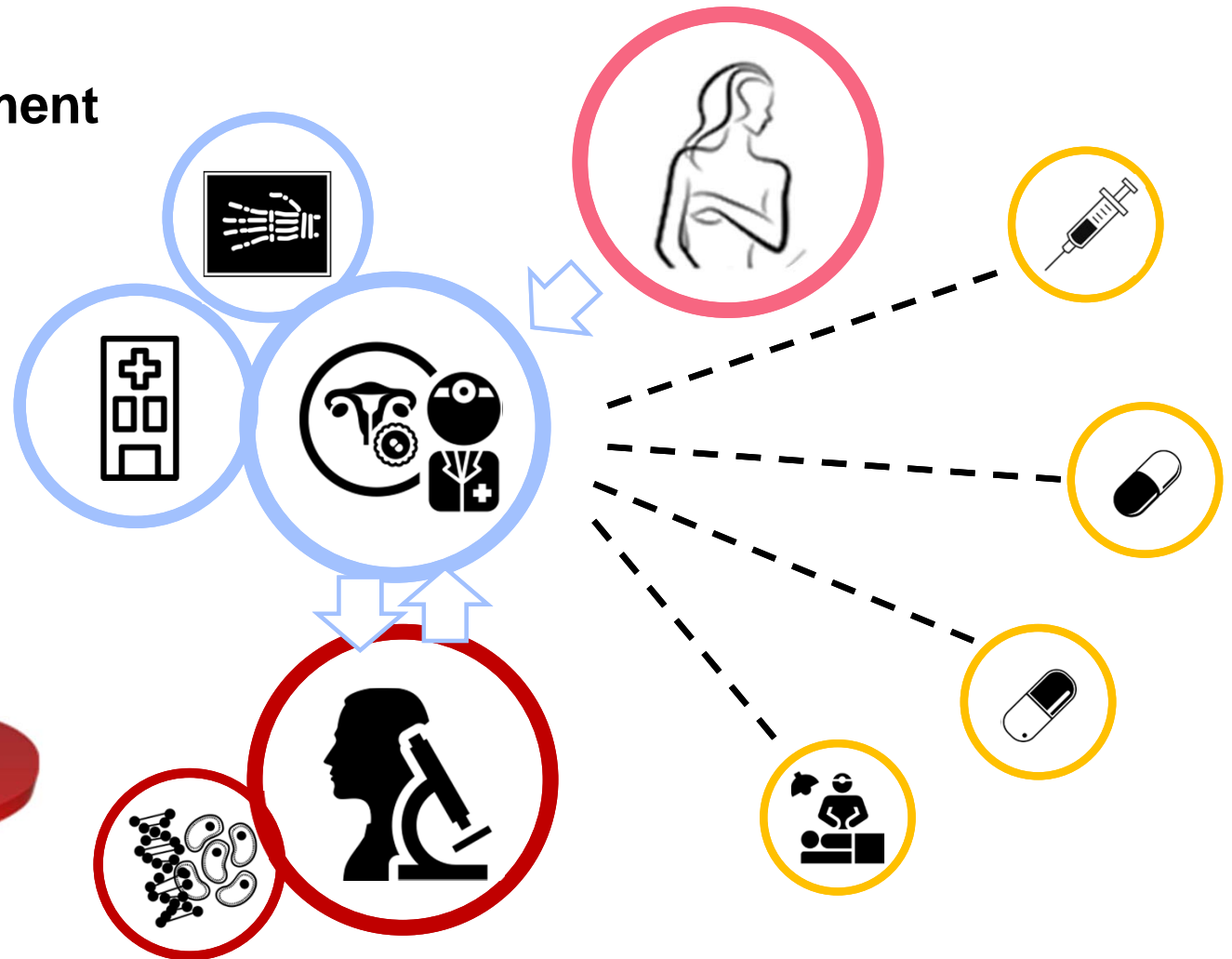
**Quality
impact not
only the
patient**

Explanation text

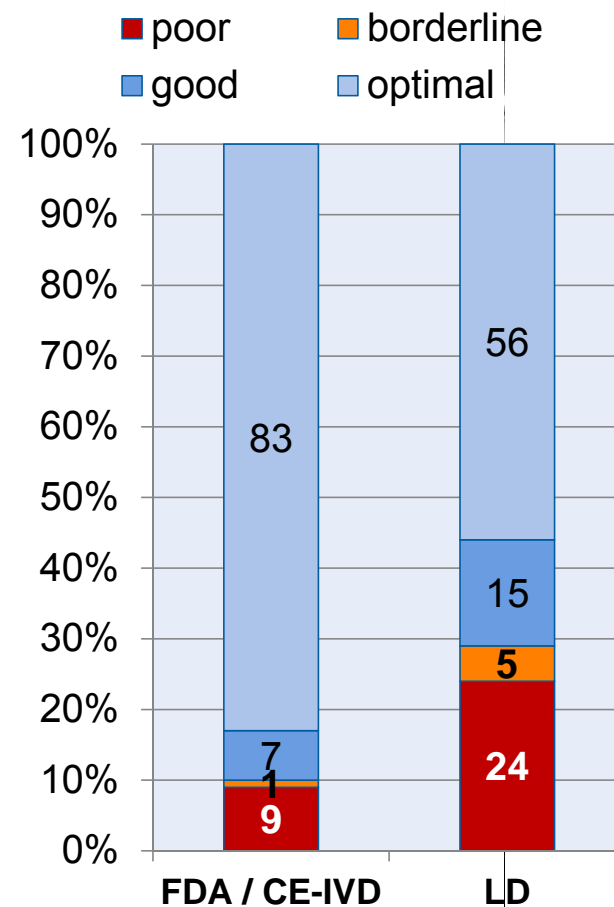
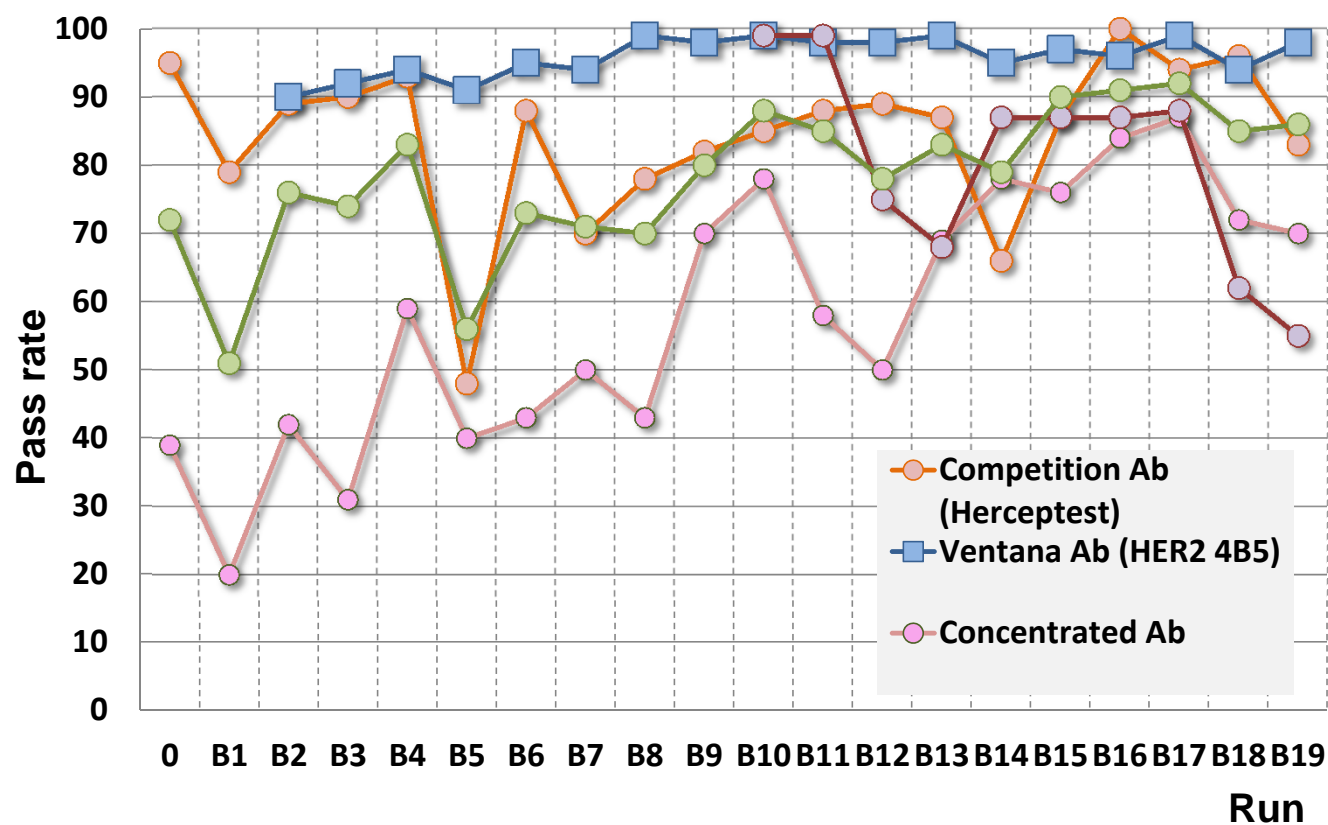
Breast Cancer : Facts and Numbers

Diagnosis is a key element

Quality ?



EQA example HER2 IHC quality (NordiQC)



Suff. OPS 2 = with optimal protocol

Socioeconomic Impact of Inaccuracy

Vyberg et al. *BMC Health Services Research* (2015) 15:352
DOI 10.1186/s12913-015-1018-6



RESEARCH ARTICLE

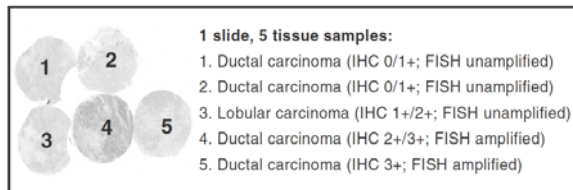
Open Access

Immunohistochemical expression of HER2 in breast cancer: socioeconomic impact of inaccurate tests



Mogens Vyberg^{1*}, Søren Nielsen¹, Rasmus Røge¹, Beth Sheppard², Jim Ranger-Moore², Eric Walk², Juliane Gartemann³, Ulrich-Peter Rohr³ and Volker Teichgräber³

Methodology



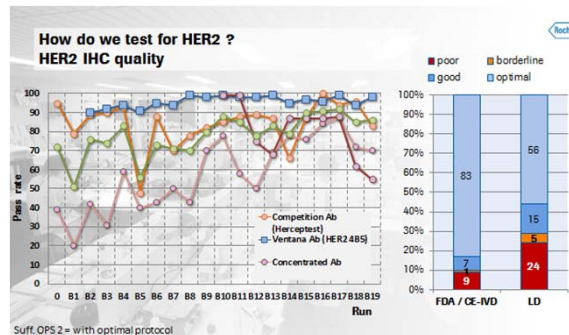
Cores validated to have same HER2 expression and gene status; obtained from different patients

Stain and return slides for NordiQC to interpret

Staining assessed as:

- Optimal
- Good
- Borderline (low signal-to-noise ratio)
- Poor (false negative or false positive staining)

Results pooled and published every 6 months



Possible consequences of

- False Positive
- False Negative

were considered in relation to :

- direct medical costs,
- life expectancy,
- quality of life
- loss of productivity in
 - early stage breast cancer (EBC; stage II and III disease) receiving systemic treatment,
 - metastatic breast cancer (MBC; stage IV disease)

National Surgical Adjuvant Breast & Bowel Project

B31¹

North Central Ca Treatment Group trial

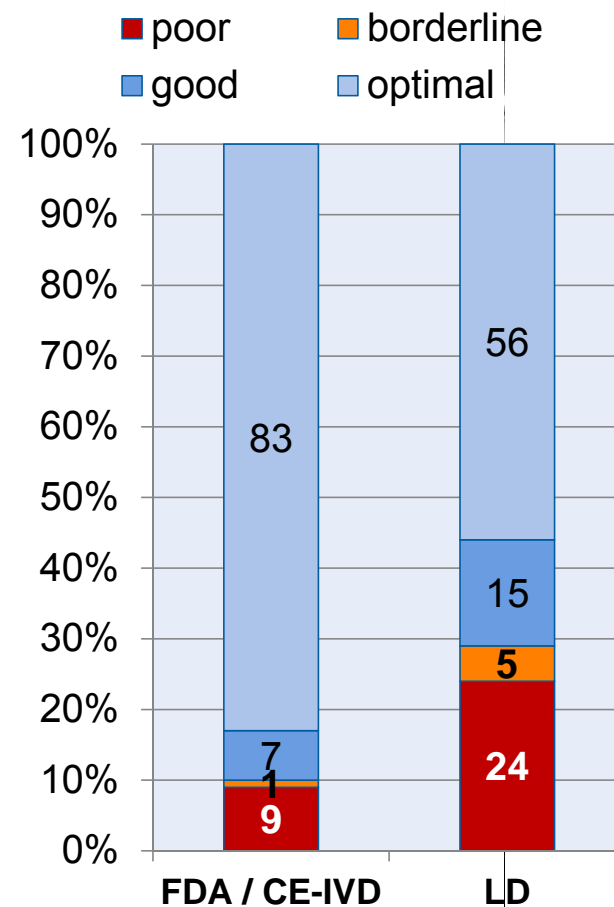
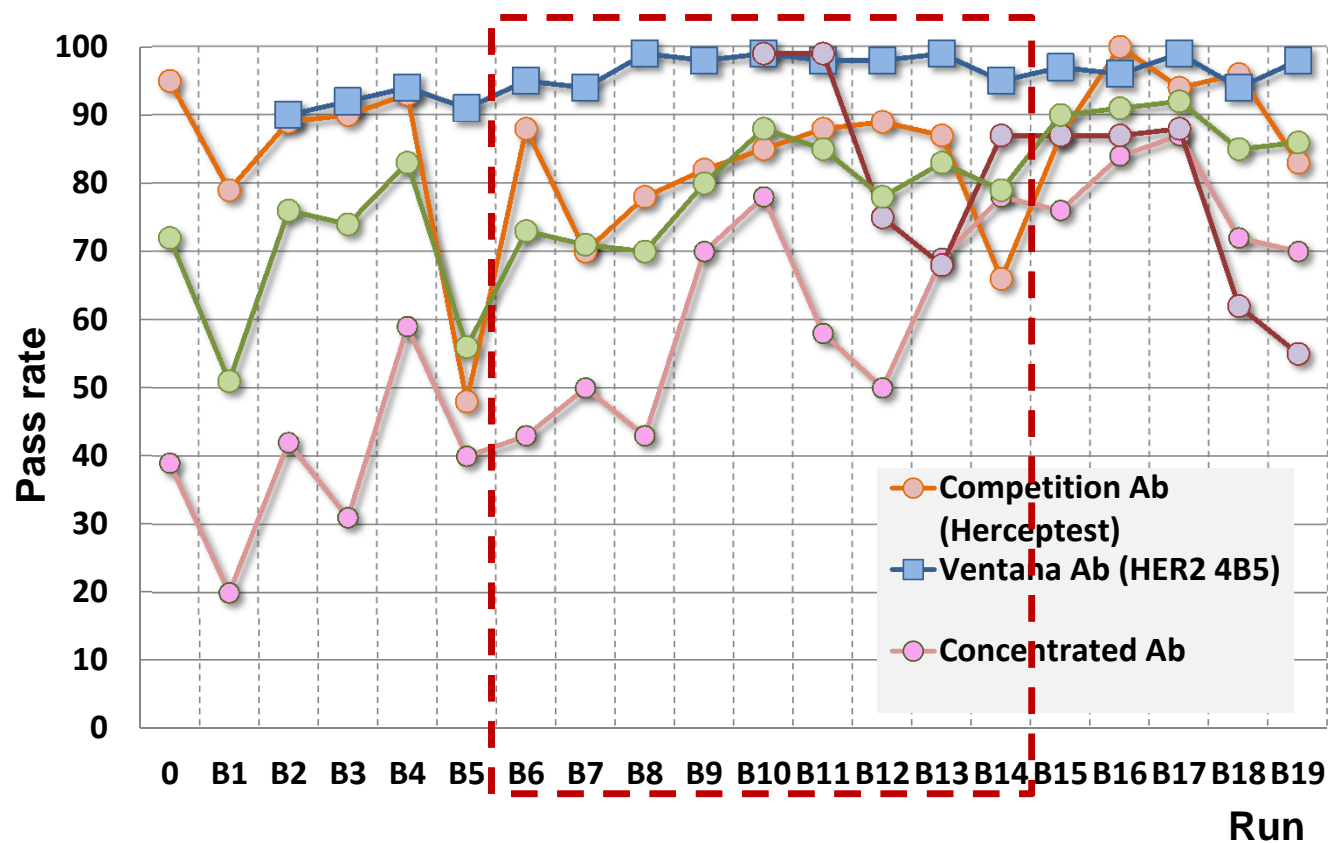
N9831¹

Phase III H0648g²

interaction between QALYs & productivity³

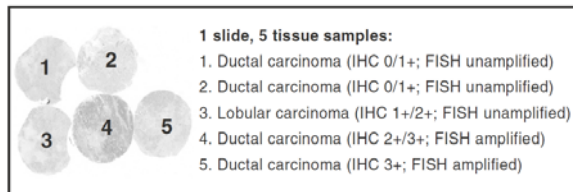
US healthcare system

EQA example HER2 IHC quality



Suff. OPS 2 = with optimal protocol

False Positive



0%

For approved IVD

Cores validated to have same HER2 expression and gene status; obtained from different patients



Stain and return slides for NordiQC to interpret

Staining assessed as:

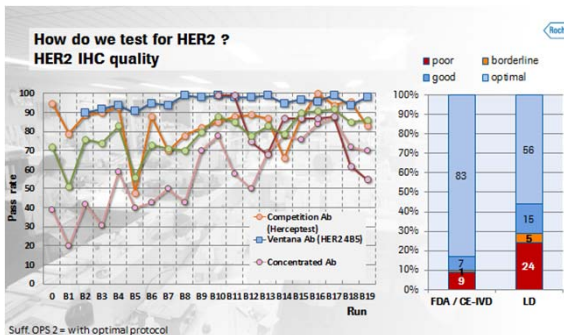
- Optimal
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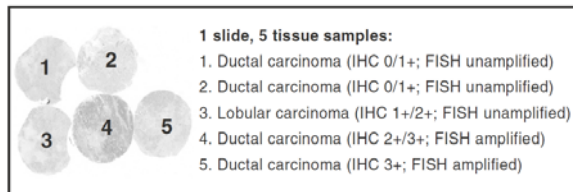
Results pooled and published every 6 months

5%

For Lab Dev IVD



False Negative



11%

For approved IVD

Cores validated to have same HER2 expression and gene status; obtained from different patients



Stain and return slides for NordiQC to interpret

Staining assessed as:

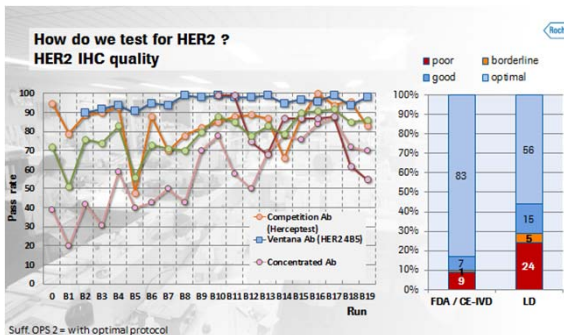
- Optimal
- Good
- Borderline (low signal-to-noise ratio)
- Poor (false negative or false positive staining)



Results pooled and published every 6 months

25%

For Lab Dev IVD



Results

Medical Cost

40,9M\$

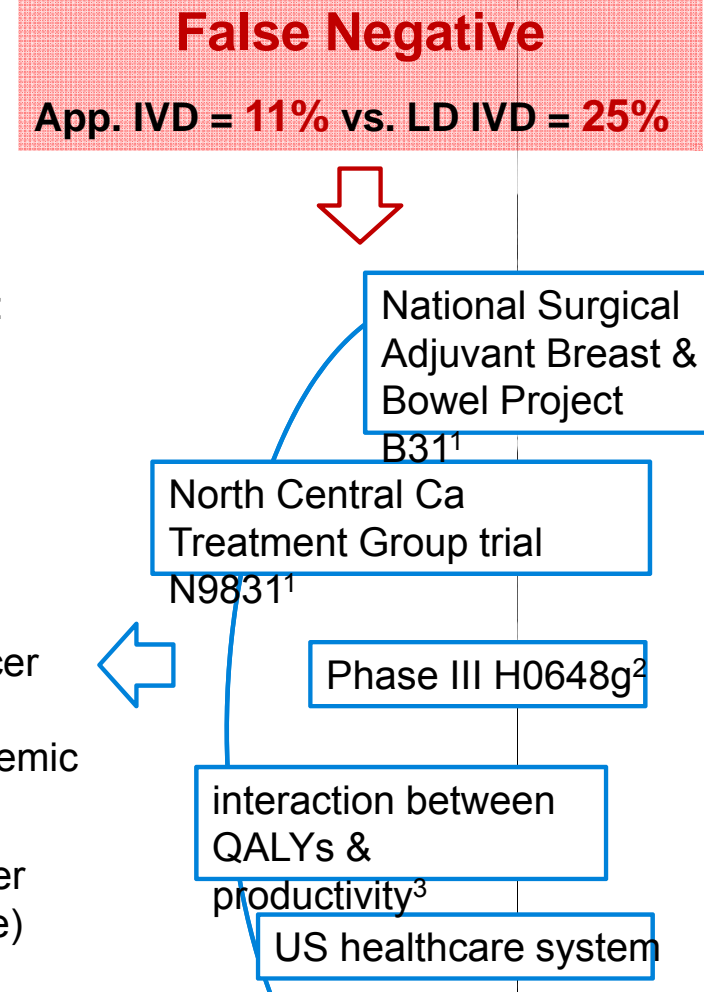
Difference in Total direct cost
for EBC (App IVD vs. LD IVD)

5,1M\$

Difference in Total direct cost
for MBC (App IVD vs. LD IVD)

were considered in relation to :

- **direct medical costs**,
- life expectancy,
- quality of life
- loss of productivity in
 - early stage breast cancer (EBC; stage II and III disease) receiving systemic treatment,
 - metastatic breast cancer (MBC; stage IV disease)



Results

Cost of lost productivity

4,2M\$

Difference in Total cost of lost prod
for EBC (App IVD vs. LD IVD)

0,3M\$

Difference in Total cost of lost prod
for MBC (App IVD vs. LD IVD)

were considered in relation to :

- direct medical costs,
- life expectancy,
- quality of life
- **loss of productivity** in
 - early stage breast cancer (EBC; stage II and III disease) receiving systemic treatment,
 - metastatic breast cancer (MBC; stage IV disease)

False Negative

App. IVD = 11% vs. LD IVD = 25%



National Surgical
Adjuvant Breast &
Bowel Project

B31¹

North Central Ca
Treatment Group trial

N9831¹

Phase III H0648g²

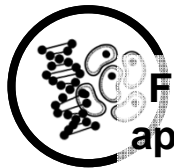
interaction between
QALYs &
productivity³

US healthcare system



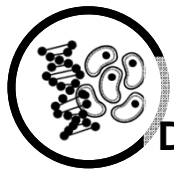
Results

Global impact on cost



FDA CE-IVD
approved test

10M\$



Laboratory
Develop. test

2,5M\$

Results

Global impact on cost



FDA CE-IVD
approved test

10M\$

+



FN & FP
additional costs

15M\$

=

25,0M\$



Laboratory
Develop. test

2,5M\$

+



FN & FP
additional costs

60M\$

=

62,5M\$

*Doing now what patients need
next*